

HOMOGENEOUS CATALYSIS  
DEVELOPMENTS IN THE SYNTHESIS OF ZWITTERIONIC OLIGOMER  
POLYMERIZATION CATALYSTS AND IRON CONTAINING CATALYSTS  
FOR HYDROCARBON OXIDATION

By

GARRY KENNETH WILGLEY

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Complete oxidation of hydrocarbons can be accomplished by employing homogeneous iron salts. One substrate of particular interest is cyclohexane and its higher oxidation products cyclohexanol (X) and cyclohexanone (Y). We have developed a system in which the oxidation of cyclohexane can be accomplished by the addition of iron (II) or iron (III) salts to neat cyclohexane solution under mild conditions. The system development and optimization of the process is discussed.

## CHAPTER 1

### THE ROLE OF CATALYSTS IN CHEMISTRY

#### Introduction

A catalyst is defined as a substance that accelerates the rate of a chemical reaction or process without being consumed in the reaction. Generally, a catalyst makes available reaction pathways that are kinetically more favorable and have lower activation energies than the corresponding uncatalyzed reaction.<sup>1</sup> Activation energies of such reactions are often lowered by the interaction of the catalyst with the substrate, which provides an alternate mechanistic pathway. This alternate pathway permits reactions or processes to proceed more efficiently or under milder conditions than would otherwise be possible.

The form of a catalyst can vary dramatically from a simple organic acid or base to complex organometallic species. Organometallic species are of particular importance due to their broad applications as catalysts. Organometallic complexes have been the focus of intense research due to its applications as catalysts of hydrocarbon oxidation, olefin polymerization, hydrogenation, as well to numerous other organic transformations.<sup>2-4</sup> Organometallic complexes can function as either homogeneous or heterogeneous reaction systems. Heterogeneous catalysis currently dominates industrial chemical production in terms of volume of product produced, but homogeneous



catalyst is gaining acceptance in industry due to the unique properties offered by the catalyst organometallic complexes.

The varied applications of organometallic species are in part due to the diversity of the transition metal series. Changing the metal center of the organometallic complex can dramatically alter the properties of the catalytic species. Electronegativity of the transition elements increase significantly going from left to right across the periodic table. Early transition metals are electropositive and are commonly found in higher oxidation states. This means that the valence electrons of the early transition metals reside in high energy molecular-orbitals and are readily lost. Thus, the  $d^0$  metals are very Lewis acidic and are able to bind unsaturated ligands strongly.

Alternatively, the middle and late transition metals are relatively electronegative and are commonly found in lower oxidation states. This is a result of the molecular-orbitals residing at lower energy levels, which make the lower oxidation states more stable. Late transition metal complexes that have high oxidation states often find ways of achieving lower more stable oxidation states. As a result, these metals bind unsaturated ligands and make them susceptible to nucleophilic attack by placing positive character on the ligand due to its limited back donation properties. This difference in the electronegativity across the transition metal series and different ligand environments gives rise to a wide range of reactivity in catalysis.

Essential characteristics of a catalyst reaction are the same whether the catalyst is soluble or insoluble. Generally there are three basic characteristics that apply (i) the effect of the catalyst is purely kinetic. It does not make a thermodynamically forbidden reaction available, but it can dramatically accelerate a thermodynamically allowed

usually by providing a pathway that has a lower energy of activation. (2) Catalyst reactions operate as a cyclic fashion through a series of reactions that are repeated each time a molecule of the substrate is transformed. (3) The active catalyst species is not necessarily the same compound that is added to the reaction mixture. Many transformations of the catalyst usually occur in order to activate the catalyst. These pre-catalytic reactions often give rise to an induction period before catalysis begins.

Catalysis of organic reactions by soluble transition-metal complexes has become a major synthetic tool both in the academic laboratory and in industrial chemical production. Organometallic chemistry changed the fields of organic and inorganic chemistry by increasing the interaction between metal ions and organic molecules. Williams,<sup>1,2</sup> Fischer,<sup>3-5</sup> Ziegler,<sup>6-10</sup> and Natta<sup>11-16</sup> provided the basis for organometallic chemistry<sup>2</sup> and homogeneous catalysis as we know it today, and each has received a Nobel Prize for his contributions.

## Homogeneous Catalysis

Homogeneous catalysis has experienced rapid growth in the last four decades.<sup>17-19</sup> This growth is due to the unique selectivity and tunability of organometallic complexes, which have allowed for the development of new products with unique properties that today's consumer demands. The industrial application of homogeneous catalysis has led to a greater understanding of the chemistry involved. Mechanistic studies typically follow commercial application, but the information from these studies has been valuable for the optimization of reaction conditions rather for development of new catalysts. Mechanistic studies of homogeneous catalysis has

rapidly advanced due in large part to the physical organic spectroscopic techniques that can be applied to these systems with little or no modification. Many of the mechanistic principles developed from homogeneous reaction investigations can be applied to heterogeneous systems for which much less mechanistic information is available.<sup>12</sup>

Small changes made in the structure or electronic properties of the catalyst can have a significant impact on its activity and selectivity. Reaction rate optimization and catalyst selectivity can be adjusted by manipulating the steric and electronic properties of the ligand and the metal center. Intensely substituted structural variation of organic ligands and a diverse range of metals result in a wide range of catalyst variations, generating catalysts with greater selectivity and reaction control in most reaction systems. Incorporating various functional groups on the metal complex allows one to change the solubility of the metal complex so it can be employed in a variety of different solvents. Ligand design can selectively modify the reaction rate resulting in greater selectivity toward different substrates and more quantitative catalysis.

Selectivity, high yields, product purity, and the ability to operate under mild conditions of temperature and pressure are the major virtues of homogeneous catalysis that has led to its current expansion in industrial applications. These characteristics are especially important in the pharmaceutical and polymer industries, where intermediates and products must be extremely pure.

### **Fundamental Reactions**

Due to the enormous volume of literature regarding the applications of homogeneous catalysis, this chapter will not attempt to review the literature, but it will highlight the flexibility of several homogeneous catalysts and their properties. Major

reaction pathways in homogeneous catalysis are simply fundamental reactions of coordination and organic-metallic chemistry. Reactions appear in many combinations and sequences in catalytic cycles and in the activation of catalyst precursors. Fundamental reactions include ligand coordination and dissociation, oxidation / reduction, oxidative addition / reductive elimination, and insertion / elimination. A brief overview of the fundamental reactions will also be discussed in this chapter. A detailed discussion involving activation and reaction reactions are reserved for the chapters where they have the most relevance to this dissertation.

### **Oxidation / Reduction**

Catalytic complexes of organic substrates by soluble metal complexes represent a very important class of catalysts. The metal ion in these complexes undergoes reactions constantly cycle between two stable oxidation states. Homogeneous cyclohexene oxidation, for example, involves a catalyst ion cycling between the  $+2$  and  $+3$  oxidation states. The catalytic complex does not interact with either the  $O_2$  or hydrocarbon, instead the major function of the catalyst is decomposition of organic peroxide. The organic peroxide is formed spontaneously, by reaction of the hydrocarbon with  $O_2$  (auto-oxidation), and then is decomposed by the metal complex, leading to the production of two radicals. The resulting free radicals carry the chain-carrying propagation reactions. The metal catalyst used for this peroxide decomposition usually employs the readily available, hydrocarbon-soluble salts such as cuprous salts and certain ligands



**Figure 1-1** Metal catalyzed carbonyl decomposition

### **Oxidative addition / Reductive elimination**

In addition to conventional addition and reductive reactions, another important reaction class is catalysis in oxidative addition / reductive elimination. This class of reactions are central to important industrial processes such as hydroboration and hydrogenation.<sup>22,23</sup> The most common catalysts used for these transformations are low valent group 8-10 transition metals.<sup>2</sup> Low valency is necessary in order to supply sufficient electron density to facilitate the interaction with the CO-carbon by ligands. Transitive metal complexes utilize d orbitals as well as symmetry of the catalyst complex to assist the metal-ligand bonding. The important interactions for metal catalysis must be the coordinate bonds between a neutral ligand and the metal center. The symmetry of the orbitals involved in the coordinate bonds are very important in the making of the bond. Figure 1-2 illustrates how the CO Highest occupied molecular orbital (HOMO) donates electrons to the metal Lowest unoccupied molecular orbital (LUMO), the empty  $\text{M}(n_z)$  orbital while the metal HOMO- filled  $\text{M}(p_x)$ -orbital back donates to the CO-LUMO. This back donation polarizes the CO bond, which in turn electronically activates the CO.

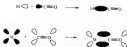


Figure 1-8. MO bonding model for M-CO interactions

The ligands of the catalyst can have significant influence on the binding properties of the metal catalyst. Ligands must be appropriately fit to the catalyst to perform the desired organic transformation and to complete and support the catalytic cycle. In the case of hydroformylation by a rhodium catalyst,<sup>10</sup> phosphine ligands offer the appropriate environment, both sterically and electronically, for the reaction to proceed selectively and efficiently. Use of less sterically-demanding ligands such as  $\text{en}^{11,12}$  to propose the mechanism in Figure 1-8. Mechanistically the catalytic reaction encompasses some of the fundamental reactions available: i.e., coordination of an alkene, insertion of CO, oxidative addition of  $\text{H}_2$ , and reductive elimination.



5 product center (Figure 1-2). This is just one example of the potential synthetic utility of homogeneous catalyst that is not easily obtained from a heterogeneous catalyst.



Figure 1-4 (R<sub>2</sub>Zr)-Cp<sub>2</sub>Me<sub>2</sub> (R<sub>2</sub>Z)

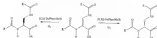


Figure 1-5. Asymmetric hydrogenation of (S)-Deuteroisopropenyl acetate<sup>24</sup>

### Insertion / Elimination

Insertion and elimination are fundamental reactions that are ubiquitous to the catalytic chemistry of olefins. A prime example of this reaction category is the insertion of an olefin into a metal-alkyl bond.





Figure 1.4 Insertion of an olefin into a metal alkyl bond

The term *insertion* is a useful description of the overall reaction, but it is inaccurate as mechanistic detail. A better description of the overall reaction is migration of an alkyl or hydride ligand from the metal center to the carbon of the coordinated olefin. Two steps are involved in insertion reactions: olefin coordination and alkyl migration (or hydride migration) bond formation. In all well-characterized insertion reactions (for saturated substrates (olefins, alkenes,  $\text{CO}$ , etc.) is coordinated to an open coordination site on the migrating ligand of the metal chain. The second step corresponds to migration of the alkyl bond to the coordinated olefinic substrate.

The overall rate of the second step is important in controlling the molecular weight of the polymer, when multiple insertions occur the length of the polymer increases. For high molecular weight polymers the propagation rate is rapid<sup>22</sup> compared to the termination rate. The major termination pathway for these types of reactions involves  $\beta$ -elimination,<sup>23</sup> in which a hydrogen on the  $\beta$  carbon of the polymer chain is transferred to the metal center resulting in a metal hydride bond and a coordinated olefin. The coordinated olefin can then either re-insert the hydrogen, which can result in branching, or get displaced by the monomer to start a new polymer chain.



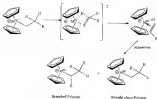


Figure 1-8 Synthesis and Isospecific Metallocene Catalysts

Figure 1-8 shows that by substituting one position of the Cp ring can produce *isotactic* polypropylene, where the unsubstituted Cp ring produces the *syndiotactic* polypropylene.<sup>15</sup> The key to these types of catalysts is that the steric bulk of the ligand directs the entering monomer to attack a certain orientation resulting in the desired tacticity of the polypropylene.

The examples of homogeneous catalysts described above are not by any means an exhaustive representation of catalysts related to soluble organometallic complexes. Several additional examples of this type of catalyst could be cited, for example, catalyst hydroallylation,<sup>16,18</sup> nitro-olefinations,<sup>17,19,20</sup> and hydroepoxations,

It<sup>10</sup> thus exemplifies clearly the broad applicability that organometallic chemistry has in homogeneous catalysis.

In this document, the author's research regarding different types catalysts for two of the fundamental reactions, oxidation and polymerization, is presented. These documents include the detailed attempts to create soluble iron(II) ligands for a successful polymerization catalyst in order to achieve a greater understanding of the chemistry involved in the catalytic polymerization process. The oxidation chemistry discussed involves the investigation of a hydrocarbon oxidation system catalyzed by soluble iron catalysts. Ligand structure and electronic properties of the iron catalysts were manipulated in order to achieve an efficient catalyst for the oxidation of cyclohexane.

## Chapter 1

# SYNTHESIS OF A METALLOCENE CONTAINING A LINKED PERFLUOROPHTHALATE FOR THE USE AS A ZIEGLER-NICK OLFIN POLYMERIZATION CATALYST

## Introduction

In 1953 Karl Ziegler and coworkers, while at the Max-Planck Institute discovered that the combination of group 4 metal chlorides and metallocenes produced a catalyst that would polymerize ethylene at ambient temperatures.<sup>18</sup> This discovery was monumental considering that before this discovery polyethylene was produced by a radical mechanism that required extremely high temperatures and pressures. It was quickly realized that the most active catalytic system consisted of a combination of titanium tetrachloride and diethylmetallocenechloride. The combination of the reagents produced strong  $TiCl_4$  crystals that well-catalyze the polymerization of ethylene efficiently. Following the work of Ziegler, Natta and coworkers<sup>19</sup> were able to demonstrate that an appropriate catalyst system was capable of polymerizing propylene into semi-crystalline polypropylene. The Nobel prize in chemistry was awarded to both Ziegler and Natta in 1963 for these discoveries.

While the potential of the Ziegler and Natta discovery was being realized, Williams<sup>20-22</sup> and Fischer<sup>23</sup> were studying the structure and synthesis of metallocenes (also called sandwich compounds), where a  $\pi$  bonded metal atom is bound between two aromatic rings. Williams and Birmingham<sup>24</sup> then later reported in 1954 the synthesis

of a series of group 4 metallocene halides as well as other transition metal metallocene complexes. The series included  $Cp_2TiCl_2$  and  $Cp_2ZrCl_2$  (Figure 3-4), which were later studied by Broder and Newberg<sup>21,22</sup> as *in situ* effective polymerization catalysts when activated with an abundant cocatalyst. These metallocene complexes when mixed with diethylaluminoxane/indole polymerized ethylene to high molecular weights. The Broder and Newberg study provided the tools needed for a detailed mechanistic investigation of the polymerization reaction.



Figure 3-4 Titanium and Zirconium metallocene complexes

Metallocene catalysts have several advantages: they are soluble in hydrocarbon solvents, provide a single active site, and their chemical structure can be easily manipulated. The complex nature of the metallocene complex has allowed researchers to examine the effects that structure and counterion/anion have on the polymerization mechanism.<sup>23,24</sup> The catalyst activity of the metallocene system with the *in situ* generated diethylaluminoxane co-catalyst is about 10,000 times greater than the heterogeneous Ziegler-Natta systems. The authors also observed that the activity and molecular weight of the polymer was independent of the ratio between the catalyst and the co-catalyst, and independent of the type of diethylaluminoxane/indole complex used. Triisobutylaluminate was found to have little activity under the experimental conditions employed by Broder and Newberg.<sup>22</sup> The unexpected reactivity of

triethylaluminum was realized until Szwarc and co-workers<sup>22</sup> discovered that the presence of small amounts of impurities like water and oxygen significantly increased the rate of polymerization.<sup>23,24</sup> The research by Szwarc and co-workers found that the impurities react with triethylaluminum to form oligomeric aluminumates, which have a general formula  $(R_2AlO)_n$ . The most common aluminumate is methylaluminoxane (MAO), the hydrolytic product of triethylaluminum. MAO can be best described as a mixture of linear and cyclic oligomers that exist in equilibrium. Szwarc has described MAO as a complex system of cross-linked cages<sup>25,26</sup> and linear species with the general structure shown in Figure 3-1. Metallacenes in combination with MAO produce an extremely active polymerization catalyst with rate enhancements observed 10 000-fold<sup>27</sup> greater than the traditional Ziegler-Natta system. The titanium complex,  $Cp_2ZrCl_2$ , when treated with MAO produces an ethylene polymerization catalyst capable of producing 1000 kg of PE per gram of catalyst per hour.<sup>28</sup>



**Figure 3-1** Chemical structure of MAO

These steps are responsible for the polymerization: initiation, propagation, and termination (Figure 3-1). The initiation step involves the generation of an organometal metal alkyl complex. Generation of the organometal metal complex can be achieved with strong Lewis acids, such as  $AlR_3Cl$ , MAO,  $BR_3$ , etc. Aluminumates supports alkylate the metallocene double bonds as well as abstract an alkyl ligand from the metal center to

generate the active catalyst. It is generally accepted that the active catalyst is a cationic transition metal allyl complex.

#### Cationic Polymerization Mechanism

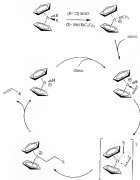


Figure 3-3 Cationic polymerization mechanism by transition metal catalyst.<sup>20</sup>



Propagation occurs in two steps: olefin coordination and carbon-carbon bond formation. Olefin coordination requires an open coordination site on the emerging alkyl ligand. The coordinating ability of the olefin decreases the effectiveness of the polymerization for a given catalyst. The ability of this type of catalyst is limited to the simple unsaturated terminal alkenes (most of polymerizations typically decrease in the order: ethylene > propylene > 1-butene). Internal olefins are not usually polymerized by this type of catalyst due to the sterically limited coordination pocket of the metal center, and the resulting decreased coordinating ability of the higher  $\pi$ -ligands. Carbon-carbon bond formation is the second stage of the propagation process, and has been the subject of controversy in the scientific community. Green<sup>22,23</sup> and Green<sup>24</sup> developed mechanisms that have been accepted as the best possibilities for the polymerization mechanism. Green's approach suggests that the C-C bond-forming step goes through a four-member transition state (Figure 2-3). Green and Rooney<sup>25</sup> suggested that the mechanism goes through a carbene-hydride intermediate. Green and Rooney's proposal suggests that the alkyl chain eliminates a  $\alpha$ -hydrogen producing a carbene-hydride intermediate, which then inserts ethylene through a similar four-member transition state. The methylene carbene intermediate then reductively eliminates producing an unsaturated metal-alkyl complex (Figure 2-4). The carbene-hydride mechanism cannot be applied to  $d^0$  or  $d^2$  metal complexes because the alkylidene-hydride complex exceeds the permitted oxidation state for group IV metals. Considering that most common single-site polymerization catalysts are  $d^0$  metallocenes and transition complexes, a modified Green-Rooney mechanism was established. The modified Green-Rooney mechanism replaced

the carboxylate complex, by an equatorial allyl hydride (Figure 2-5), which keeps the catalytic state constant. It is important to note that for a different catalyst or catalyst system, other mechanistic pathways might prevail.

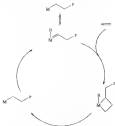


Figure 2-4. Catalytic cycle for metallocene catalysts.<sup>20</sup>

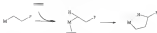
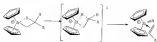


Figure 2-5. Modified Green Reaction Mechanism.<sup>21</sup>

Termination is the final stage of the polymerization mechanism, and several possibilities exist.<sup>22</sup> The accepted and most comprehensive chain terminating step is  $\beta$ -

**Hydride elimination:** which is generally accepted to be the main mode of elimination,<sup>14a</sup>  $\beta$ -hydride elimination occurs through a four-center transition state where the metal-alkyl bond is broken while a metal-hydride bond is formed, then the  $\beta$ -hydrogen of the growing polymer simultaneously. The product of the  $\beta$ -hydride elimination is a saturated metal hydride and a coordinated terminal olefin. Two possible reactions exist for the metal hydride complex (1) the resulting olefin is displaced by a smaller more basic monomer (Figure 3-4) to start a new polymer chain (2) the olefin inserts into the metal-hydrogen bond, either reforming the atactic chain polymer or a branched polymer. An alternative chain terminating reaction is the hydrogenolysis of the metal-carbon bond by the addition of hydrogen to the system. The products of hydrogenolysis are a saturated polymer and a metal-hydride complex. Hydrogenolysis is mainly used to control the molecular weight of the polymer.<sup>15</sup>



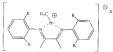
**Figure 3-4:  $\beta$ -Hydride elimination reaction<sup>16</sup>**

The molecular weight of the polymer depends on the relative rates of the propagation and termination reaction sequences. High molecular weight polymers are formed when the rate of propagation is much greater than the termination rate. Group four  $d^0$  metals that do not have sufficient electron density to adequately stabilize the  $\beta$  elimination reaction, exemplify this behavior. Conversely when the propagation rate is

shows how the transition state ligands and donors are the determining products.<sup>28-30</sup> Generally, transition metals that have sufficient electron density to stabilize the stable complex, non-bonded bonding, involve oligomers and donors.

A key benefit to analyzing the organometallic complexes can offer is that the ligand environment can be easily manipulated to achieve a desired polymer properties. A good example illustrating the impact a ligand can have on catalyst properties, is the work of Bercaw and co-workers,<sup>31-33</sup> where they were able to produce high molecular weight polyethylene with nickel and cobaltocene catalysts (Figure 1-7). Prior to this work group, 10 metal catalysts were found to only oligomerize ethylene.<sup>34-37,38</sup> The critical feature of these square planar complexes is that the bulky donors ligands create the necessary displacement of the  $\pi$ -olefin (the product of  $\beta$ -elimination). The  $\pi$ -olefin groups of the ligand are situated above and below the square plane of the catalyst that forces the monomer's access to the metal center. Removing the displacement by the monomer allows the hydrolysis to occur into the  $\pi$ -olefin bond resulting in branched high-density polyethylene.

There has been an enormous amount of work accomplished in the use of ligand substituents effects and a number of excellent reviews<sup>39,40,41,42</sup> are available discussing ligand effects in olefin polymerization processes.



**Figure S-1**  $\text{Ni}(\text{II})$  dimeric catalyst developed by Brookhart produces highly branched HCBP.

The particular aspects of the polymerization mechanism that are relevant to this discussion pertain to the activities of the organometallic precursor. Richardson and coworkers found in earlier work that the interaction between the radical metal complex and the monomer has the highest energy feature of the polymerization reaction (Figure 1-4).<sup>73-75</sup> Richardson and coworkers illustrated that  $\pi$ - $\pi$  pairing has a significant impact on the polymerization activity. The conclusion was established from the study of the cleavage factor that influences the electrophilicity of the metal center and the  $\pi$ - $\pi$  pairing between the metal center and the monomer. The  $\pi$ - $\pi$  reactions of group 4 metallocene/monomer,  $\pi$ - $\pi$  with various auxiliary ligands were studied and found that by increasing the electrophilicity of the metal center increased  $\pi$ - $\pi$  pairing between the metal center and the monomer. Increased  $\pi$ - $\pi$  pairing resulted in decreased the polymerization rates due to the occupation of the reactive site by the monomer, which does not allow the monomer to coordinate to the metal center. Metal centers that contained ligands with more electron releasing ability were found to have increased polymerization rates due to decreased  $\pi$ - $\pi$  pairing between the metal center and the monomer. Therefore controlling the interaction between the monomer and the catalyst would be advantageous to overcome the right  $\pi$ - $\pi$  pairing.



**Figure 2-4** Reaction energy diagram for the cationic polymerization mechanism.<sup>20</sup>

In 1966, Jordan *et al.* first discovered anionic synthesis and isolate carboxyl group IV metallocene complexes and showed that it was possible to polymerize ethylene without the use of an alternative co-catalyst.<sup>21,22</sup> The carboxyl complex was generated with some strong oxidant and trapped with THF (Figure 2-5). The THF liquid is a Lewis base and functions as an isolator because it binds tightly to the highly electrophilic metal center. To demonstrate that the complex produced in Figure 2-5 is the active species, Jordan and co-workers subjected the complex to an atmosphere of ethylene in  $\text{CH}_2\text{Cl}_2$  solvent and high-density polyethylene was produced.



Figure 2-8 Generation of a Zirconocene-arene<sup>22</sup>

An alternative activating method developed by Blakey, Turner,<sup>23</sup> and Beckmann *et al.*<sup>24</sup> utilized a tertiary ammonium-arenephosphonate salt that promoted the metal-allyl bond of the metallocene generating the active cationic zirconium complex. This method leads to an *ansa*, *rac*-free zirconium catalyst, which is highly active in *isobutene*. However the reaction is quite complicated, the combination of  $[\text{Cp}_2\text{Me}_2\text{ZrMe}]^+$  with  $[\text{dMe}_2\text{NH}][\text{Ph}_2\text{P}(\text{C}_6\text{H}_4)_2\text{Ph}_2]$  produces a zirconocene complex (Figure 2-10),<sup>25</sup> where one of the phenyl rings of the borate anion is metallated. This metallated complex is a result of an electrophilic attack by the intermediate  $[\text{Cp}_2\text{Me}_2\text{ZrMe}]^+$  cation on the C-6 bond of the phenyl ring. The generation of the metallated, zirconocene species hinders the completion of the diels reactivity as reduced stericity. Although the activity of the system is high, it is still low compared to the M-CD-activated system. Further enhancement of the catalyst use can be accomplished by decreasing the steric strain interaction by incorporating weakly coordinating anions.<sup>26</sup>



Figure 2-10 Blakey's Zirconocene complex<sup>25</sup>

Many weakly coordinating anions (WCA) are based upon perfluorinated arylborates<sup>27</sup> and borates. Tri-*p*-toluenesulfonylborate, first synthesized by Fieser and

Mannoy<sup>102b</sup> is a strong Lewis acid that is capable of abstracting a methyl group from directly coordinated fluoromethyl boron. Fluoromethyl boron, the phenyl ring of the Lewis-acidic system has several advantages e.g., enhanced Lewis-acidity, increased stability toward protic solvents, improved solubility in non-polar and non-coordinating solvents, and increased resistance to electrophilic attack.<sup>103</sup> Tris(pentafluorophenyl)borane coordinated with (1,2-(CH<sub>3</sub>)<sub>2</sub>C<sub>2</sub>H<sub>2</sub>)fluorene abstracts a methyl group producing a highly active catalyst.<sup>102b</sup> The abstracted methyl group bridges the two highly electrophilic centers (Figure 3-11) resulting in a weakly coordinating zwitter-ion pair that has retention-compatibility in the M&D initiated systems. This pioneering work paved the way for a great deal of research on new larger weakly coordinating anions.



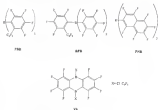
Figure 3-11. Zwitterionic Lewis acid with a  $\mu$ -methyl-B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> bridge.<sup>102b</sup>

### Weakly Coordinating Anions

Over the past few years, the respective groups of Marks and Piers have developed a number of new and effective perfluorophenylborane and heteroborane systems. The usually unsaturated perfluorophenylboranes synthesized by the Marks group include *trans*-(1,3,5'-perfluorophenyl)boranes (PFB),<sup>104</sup> *trans*-(pentafluorophenyl)(2-perfluorophenyl)boranes (2PFB),<sup>105</sup> and *trans*-(2-perfluorophenyl)(2-*tert*-butyl-2-perfluorophenyl)boranes (2TBB).<sup>106</sup> (Figure 3-12). Anionic silver salts with these strong Lewis acids have comparable or slightly



higher activities than the  $\text{B(C}_6\text{F}_5)_3$  system. Information obtained from these studies revealed that increasing the steric bulk of the anions does not necessarily afford a more active catalyst. The study revealed that interactions between  $\text{Cp}_2\text{Zr(THf)}_2$  and PND or PBD generated an active species that had a stronger coordination with  $\text{B(C}_6\text{F}_5)_3$ . The lower than expected rate is a result of competition between the  $\text{MePND}$  and the neutral  $\text{Cp}_2\text{Zr(THf)}_2$  for the active metal complex. Interactions between the  $\text{Cp}_2\text{Zr(THf)}_2^+$  and  $\text{Cp}_2\text{Zr(THf)}_2$  result in a dimeric species  $[\text{Cp}_2\text{Zr(THf)}_2(\mu\text{-Ind)(MeZrCp)}]^+$  that competes with the olefin and halogen coordination.<sup>40</sup> The limitations of dimeric vary depending on the coordinating ability of the anion. For example, the anion  $\text{PBD}^-$  preferentially forms the dimeric complex  $(\text{Zr}(\mu\text{-Me})(\text{Cp}))$  at room temperature, but increasing reaction temperature favors the monomeric species. Conversely  $\text{B(C}_6\text{F}_5)_3$  forms the monomeric species exclusively. Further modifications to enhance the Lewis acidity of the fluoroborate anion structure was achieved by Fren<sup>41</sup> and co-workers when they synthesized dibromoboranes (PBs). This diborane compound is a stronger Lewis acid than  $\text{B(C}_6\text{F}_5)_3$  and is sterically less sterically encumbered than PND and PBD. The increased Lewis acidity and reduced steric bulk results in a significant increase in polymerization activity up to 20 times the rate of  $\text{B(C}_6\text{F}_5)_3$ .<sup>42</sup>



**Figure S4.13.** Weakly coordinating anions<sup>13-17</sup>

### Zwitterionic Catalysts

Information obtained during the investigations of WCA systems revealed the hope was that the zwitterion-zwitterion interaction have no catalyst activity. Tight ion pairing hinders zwitterion binding to the metal center reducing the activity of the system. Decreasing the coordinating ability of the zwitterion increases the activity two-fold until the equilibrium becomes so large that dimer forms. One potential method to gain more control of the zwitterion-zwitterion interaction would be to physically link the boron component to the ligand backbone of the catalyst to form a zwitterion. With these zwitterions, species like ion pairing, lifetime of the catalyst, and stability might be affected.

Zwitterionic complexes have been shown to be highly active catalysts. Two different types of zwitterions are described in recent literature. One type of zwitterion has the zwitter bound directly to the metal center, which through ligand dissociation loses its zwitterionic character. Examples of this type of zwitterion include the one pair that is formed by the combination of  $Cp_2ZrMe_2$  and  $B(C_6F_5)_3$  (Figure 2-13)  $Ag^+$  thiolate complex (Figure 2-13) (3) and Erker's  $\pi$ -alkyl zwitterion (Figure 2-13) (4). Although these complexes are active polymerization catalysts, the borate anion is incorporated into the growing polymer chain, which leads to increased charge repulsion and potential catalyst deactivation.

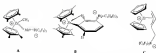


Figure 2-13 Type one zwitterions<sup>(3,4)</sup>

The second type of zwitterion involves complexes that have the borate anion bound directly to the ligand of the catalyst. Our interest focus on this type of zwitterion is with  $\pi$ -p-quinone complexes that will preserve the zwitteral anion during the polymerization process. Examples of this type of zwitterion include Blackman's complex<sup>(5,6)</sup> where the  $B(C_6F_5)_3$  is directly attached to the Cp ligand (Figure 2-14 A) and Peier's complex<sup>(7,8)</sup> where borate is attached to the Cp ring by a multiphase linker (Figure 2-14 B). Although these complexes have the borate directly linked to the ligand of the catalyst, the B-C bond is unstable. It has been observed that  $sp^2$  hybridized B-C

bonds are more stable than  $sp^3$  B-C bonds.<sup>102</sup> This observation suggests that the more  $p$  character that the carbon linker contains the weaker B-C bond will be to  $\alpha$ -cyclopentadienyl-alkyl. We recently observed this trend in our laboratory, when the borate moiety attached directly to the cyclopentadienyl ligand ruptured during the activation of the Cp ligand.<sup>103</sup> The presence of boron-carbon bond instability represented in the above examples results in the B-C bond rupture and consequently loss of the conjugation system of the catalyst. These observations encouraged us to link the borate moiety to the ligand backbone of the catalyst with a fluorinated phenyl ring. The incorporation of the phenyl linker should sufficiently substitute for B-C allowing it to survive intact during the polymerization process.

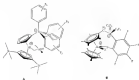


Figure 1-14. Type I and catalysts<sup>103(a-c)</sup> and (b)

#### Experimental Section

**General Considerations.** All manipulations were performed under an argon atmosphere by using standard Schlenk techniques or under  $N_2$  in a Vac Atmosphere glove box. Catalysts and monomers were dried prior to use. Solvents were distilled prior to use.

and stored over 4-Å molecular sieves in sealed Erlenmeyer flasks. Diethyl ether and tetrahydrofuran were dried by distillation from Na/benzophenone ketyl. Pentane was dried by distillation from Na. NMR solvents were purchased from Cambridge Isotopes and were dried over 4-Å molecular sieves and not further purified. THF was purchased from Aldrich and distilled prior to use. Reagents, *p*-bromobenzoate, *p*-bromo-2,4-dinitrophenol,  $\text{BF}_3 \cdot (\text{Et}_2\text{O})$ ,  $\text{SnCl}_4$ ,  $\text{ZnCl}_2$ , LDA (2.0M in heptamethylbenzene/THF), and  $\text{BuLi}$  (2.5M in hexanes) were purchased from Aldrich and used as received.  $\text{Et}_2\text{C}(\text{F})_2$  was purchased from Boulder Scientific and used as received. The chloromethylcyclopentadiene was prepared according to a published procedure.<sup>17</sup>  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra were obtained by using either a Varian VXR-300 or General Electric QNP-300 spectrophotometer.  $^{19}\text{F}$  NMR spectra were obtained on a Varian VXR-300.  $^{19}\text{F}$  NMR chemical shifts were referenced relative to the fluoric resonance at 0 ppm in  $\text{CFCl}_3$ .

$(\text{Et}_2\text{HC}(\text{OAc})\text{MgBr} \cdot \text{BuLi} \cdot p\text{-Cl}_2\text{F}_2)_n$  (1-3).  $n\text{-BuLi}$  (2.90 ml, 2.5M in hexanes) was added slowly to a  $-78^\circ\text{C}$   $\text{Et}_2\text{O}$  solution of 1,4-dibromocyclopentadiene (1.40 g, 4.64 mmol) and stirred for 10 min. An  $\text{Et}_2\text{O}$  solution of chloromethylcyclopentadiene (1.0 g, 4.64 mmol) was slowly transferred by syringe over the flask containing  $\text{Et}_2\text{C}(\text{F})_2$  over a 10 min period. A light yellow solution formed upon the addition of the second reagent. The reaction mixture was stirred for 1 hour at  $-78^\circ\text{C}$ , allowed to slowly warm to room temperature and stored overnight. Solvent was removed to yield a pale yellow oily solid. The product was then extracted with pentane and cooled to  $-78^\circ\text{C}$  yielding a white solid 3.0 (1.40 g, 79%)  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  =





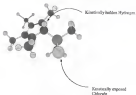
118.116, 137.194. Analysis Calc. for  $C_{12}H_{12}Br_2O_4Zr$ : C, 40.91, H, 4.03. Found: C, 40.72, H, 4.14.

**14**  $Zr(CH_3)_2(C_2H_5)_2C_2H_4Zr(CH_3)_2-9pC_6H_5-C_6F_5-p-9pC_6H_5Zr(CH_3)_2$  (1-7). A flask was charged with 10.88 g. of 8 and 50 ml of  $Et_2O$  resulting in a light yellow solution. The solution was cooled to  $-73^\circ C$  and (1.41 ml.) of  $MeMgBr$  (3M in  $Et_2O$ ) was added. The resulting solution was warmed to  $-70^\circ C$  for 2 hours and returned to room temperature. Upon warming to room temperature, the solution darkened to a light brown color. Removal of the solvent in vacuo resulted in a light brown oil. The oil was extracted with 20 ml of  $CH_2Cl_2$  and filtered. The solvent was removed in vacuo from the filter resulting in a brown crystalline solid.  $^1H$  NMR ( $CDCl_3$ )  $\delta$ : 8.820 (s, 2H,  $ZrCH_3$ ), 9.850 (s, 1H,  $Se(CH_3)_2$ ), 1.110 (d, 24 H,  $p-FC_6$ ), 1.83 (s, 6 H,  $C_2H_5CH_3$ ), 1.98 (s, 4 H,  $C_2(CH_3)_2$ ), 3.63 (sept, 8H,  $p-FC_6$ ), 4.83 (s, 2H,  $C_2H_5$ ).

# Results and Discussion

The synthesis scheme for ligand systems relied heavily on the facile accessibility of the methine proton in *trans*-cyclooctatetraene. Intrinsically cyclooctatetraene(2), as previously explored by Bower et al.,<sup>102</sup> substitution reactions occur at the more kinetically accessible allyl chloride as opposed to deprotonation of the Cp\* methine proton due to the steric crowding of the intradibenzosilole-Cp\* ring (Figure 3-15).





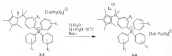
**Figure 3-13.** Different kinetic reactivity of **3-4**

We took advantage of this relative order of reactivity to attach the tetraphenylborate anion to the Cp ligand. The synthesis of the *di-oxo-oxo* ligand starts with the protection of *p*-bromo-*tert*-butylphenylmethane by the addition of a *tert*-Bu as a TBS-protected-tert-butyl 1,1-dimethylsilyl ether. The borane-alkene exchange reaction produces the highly reactive *p*-bromo-*tert*-butylphenylmethane that is trapped by **3-4** to give rise to compound **3-5** as a light yellow solid in high yield (>80%) after recrystallization from solid hexane (Figure 3-14). The  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) spectrum of **3-5** exhibits resonances that are readily assigned to the aryl methyl, Cp methyl, and Cp methine protons. Only a single resonance is observed for the inequivalent Cp methyl protons. The compound is isolatively air sensitive, and decomposes to a deep purple oil upon exposure to the atmosphere.





but in an inert, ligand atmosphere was observed in all cases. This reaction is interesting, it appears that the two coordinated cyclopentadienes on the lithium cation are able to offer sufficient solubility in a  $\text{Pr}_2\text{O}$  solution for the reaction to proceed. Consequently, changing the coordinated cation to a butyllithium, where these molecules will coordinate to the lithium, (by NMR analysis) offers sufficient solubility for the reaction to proceed in cyclopentadiene. Therefore dissolving compound 2-8 in  $\text{Et}_2\text{O}$ , removing the solvent in vacuo and replacing the solvent with cyclopentadiene allows the dimerization to proceed smoothly at  $-78^\circ\text{C}$  (Figure 2-18).



**Figure 2-18:** Synthesis of  $(\text{Li}^+)(\text{C}_6\text{H}_5\text{CH}_2)_2\text{Si}(\text{CH}_2\text{CH}_2\text{C}_6\text{H}_5)_2(\text{C}_6\text{H}_5)_2(\text{Pr}_2\text{O})_2(\text{THF})_2$  2-4

Initially the reaction solution is cloudy prior to the addition of the  $n\text{-BuLi}$ , but as reaction progresses the solution becomes clear. The reaction mixture is then allowed to warm slowly to room temperature ~ 4 hours, no reaction solution reaches room temperature compound 2-4 precipitates out of solution as an available white crystalline solid in high yield (~85%). The  $^1\text{H}$  NMR of compound 2-4 reveals a new set of resonances that are assigned to an equivalent set of Cp-methyls at 2.8 (s) and 2.0 (s) (5H's each) and an equivalent set of aryl methyl protons at 8.63 (s) ppm.

Attaching the di-antenna to the metal center was initially attempted using  $\text{ZnCl}_2$ . Coordinating this zinc complex to  $\text{H}_2\text{O}$  produced a yellow solution with no deposited on bottom of flask. Work up of the resulting solution produced a red solid that, by  $^1\text{H}$  NMR analysis, consisted only a small amount of the desired product. Initially the product that formed was yellow, but upon solvent removal, the solid turned red because of decomposition. Given the difficulty associated with the isolation of this complex and that an additional step would be required to complete the formation of the sandwich complex, we decided to use  $\text{CpZnCl}_2$  as the starting material. An efficient synthesis developed by Loughmore and others<sup>122</sup> allowed us to produce  $\text{CpZnCl}_2$  (DME) in high yield. Use of this reagent allowed for the sandwich complex to be synthesized in  $\text{CH}_2\text{Cl}_2$  and isolated as the DME adduct (3-6) in good yield. Isolation of the DME ligand to produce the base free solid was attempted by sublimation but was not achieved. Therefore, we purchased the base free  $\text{CpZnCl}_2$  complex. Generating the sandwich complex was more difficult, low isolated yields resulted from using  $\text{CH}_2\text{Cl}_2$  as the reaction medium. Using diethyl-ether as the solvent allows for the sublimation to occur to produce anionic sandwich complex 3-6 (Figure 2-11). The resulting complex is isolated as an air and moisture sensitive yellow-orange powder in good yield (~65%).

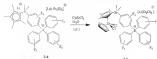


Figure 2-11. Synthesis of the sandwich sandwich-complex 3-6

Our ultimate goal is to form the *trans*-alkyl-metallacyclobutane, according to literature procedures<sup>27</sup> complex 2-6 must be converted to the dimethyl-substituted complex 2-7. Allowing the dichloride complex 2-6 to react with 2 equivalents of MeMgBr in Et<sub>2</sub>O at -78 °C will generate the desired dimethyl complex, (Figure 2-26)

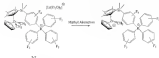


**Figure 2-26.** Conversion of the dimethyl complex 2-7

The reaction solution was then concentrated and the resulting solid residue extracted with CH<sub>2</sub>Cl<sub>2</sub>. Filtration and solvent removal gave complex 2-7 as a brown solid in greater than 40% yield. The <sup>1</sup>H NMR spectrum of 2-7 displays a resonance at -0.4 ppm that can be attributed to methyl groups that are attached to a high oxidation state metal center. The addition of the alkyl groups shifts the Cp methyl resonances from 3.09 and 2.11 ppm in 2-6 to 3.11 and 3.58 ppm in 2-7. The Cp protons are shifted up-field from 6.3 to 6.11 ppm. This up-field shift reveals that the metal center has increased electron density at the metal center relative to the dichloride complex, 2-6.<sup>28</sup>

Isolation of the metallocene proved to be consistent with complex 2-7. Attempts to generate the metallocene by reacting 2-7 with 1,5-cyclooctadiene led to the formation of a mixture of products. Initial experiments were attempted on small scale by using a couple of different methyl donating agents.  $\text{BCl}_3\text{P}(\text{CH}_3)_3$  was employed in the presence of acetonitrile which produced a broad peak at 0.5 ppm that is characteristic of

$[\text{MeO}(\text{C}_6\text{F}_5)_2\text{Ir}]^{+}$  along with several undesirable reactions. The appearance of the methyl cation suggests that there might be possible ways to isolate a f the desired product. Therefore, the reaction was attempted on a larger scale using either acetonitrile or toluene as a solvent but isolation of the complex was rather difficult because both reactions produced an oily solid that had a very complicated  $^1\text{H}$  NMR spectrum.



**Figure 3-11.** Proposed Zr-methyl complex.

An alternative methyl-abstracting agent was employed. The compound  $[\text{PhOMe}_2\text{Hg}(\text{BF}_4)(\text{P}(t\text{Bu})_3)_2]$  has been shown to be very efficient at generating Zr methyl cations from  $\text{Cp}^*\text{ZrMe}_2$  derivatives.<sup>11</sup> The reaction was first attempted on small scale in a NMR tube with acetonitrile as a solvent. Immediate methane evolution was observed upon the addition of the zirconium salt to the solution. The  $^1\text{H}$  NMR spectrum obtained revealed the loss of the methyl peak at  $-0.8$  ppm and the appearance of two new peaks at  $-4.05$  and  $-0.2$  ppm. Protons at  $1.4$  and  $2.8$  ppm for the Cp methyls in complex 3-7 had changed into 4-peaks after reaction, and the single Cp peak had changed into a doublet. This agent seemed to be a feasible route to generating the desired zirconium complex. Reaction was attempted on a larger scale first with toluene as a solvent but the reaction produced an oil that could not be purified and had a very

complicated  $^1\text{H}$  NMR spectrum. Some different quantity has been obtained with different solvents, toluene was employed but was not successful. The spectrum obtained from the oil produced was similar to the toluene mixture, it is important to note the absence of the methyl resonances at  $\sim 0.1$  ppm but the rest of the spectrum was still very complicated and isolation of the monomethyl monodimer species remained elusive. Reasons for the complicated spectra are probably due to the highly reactive species that is generated will react with a number of different species that are present in solution resulting in a complicated mixture of products.

A possible route to isolating the monomeric complex would be to trap the product with a Lewis base like  $\text{TiEt}_3$  in order to suppress the reactivity of the monomer. Therefore a  $\text{TiEt}_3$  solution of 3-7 was subjected to  $[\text{PhSiMe}_2\text{H}][\text{DqCl}_2\text{F}_2]$  and the evolution of methane was observed immediately. The  $^1\text{H}$  NMR confirmed the disappearance of the methyl resonances but the peaks were broad and unresolved, the product of the reaction was a light yellow solid but crystallization of the isolated solid failed to produce a pure product. It appears that the metal center may be too acidic, and experiments should be designed to moderate the Lewis acidity of the metal by incorporating more electron releasing Cp ligands.

### X-Ray structural Analysis

Complex 3-4 was heated in toluene/petroleum to  $50\text{ }^\circ\text{C}$  and allowed cool to room temperature, producing X ray quality single crystals. Selected bond lengths and angles are shown in Table 3-2. The monomer metal center adopts a distorted tetrahedral configuration with  $\text{Cl}(1)\text{-Zr-Cl}(2)$  and  $\text{central Zr-axial bond angles of } 92.31^\circ \text{ and } 131.37^\circ$



respectively (Figure S12). The  $Zr-Cp^*$  (centroid) and  $Zr-Cp(4)$  (centroid) distances are equal at 2.286 and 2.287 Å, respectively, as was expected because the electronic make up of the Cp ligands is very similar. It is important to note that the dimethylsilyl linker between the Cp ring and the phenyl ring is situated so that one of the metal chloride bonds (the Cp, Si-CPh, angle, allowing the phenyl ring to point away from the metal center. This orientation places the anionic basic ligand as far as possible from the chloride ligands of the zirconium. This orientation maintains both the steric and electronic separation between the anionic ligands and the chloride ligands.

**Table S-1** Summary of Crystallographic Data for 3a

Empirical formula	C52 H51 B Cl2 F19 Li Cl3 Se Zr	
Formula weight	1279.18	
Temperature	273(2) K	
Wavelength	0.71073 Å	
Crystal system	Monoclinic	
Space group	P2 <sub>1</sub> /a	
Unit cell dimensions	$a = 0.7646(5)$ Å	$\alpha = 90^\circ$
	$b = 0.4302(1)$ Å	$\beta = 102.68(3)^\circ$
	$c = 0.8384(2)$ Å	$\gamma = 90^\circ$
Volume	$0.2544(4)$ Å <sup>3</sup>	
Z	4	
Density (calculated)	$1.328$ Mg/cm <sup>3</sup>	
Absorption coefficient	$0.419$ mm <sup>-1</sup>	
F(000)	2118	
Crystal size	$0.26 \times 0.12 \times 0.04$ mm <sup>3</sup>	
Theta range for data collection	$1.71$ to $27.50^\circ$	
Index range	$-13$ to $13$ , $-44$ to $40$ , $-11$ to $11$	
Reflections collected	30406	
Independent reflections	12707 [ $R_{\text{int}} = 0.0121$ ]	
Completeness to theta = $27.50^\circ$	99.6 %	
Absorption correction	Integration	
Max. and min. transmission	0.7426 and 0.4083	
Refinement method	Full-matrix least squares on $F^2$	
Data / restraints / parameters	12707 / 0 / 727	
Goodness of fit on $F^2$	1.008	
Final R indices [ $R_{\text{int}}(R_g)$ ]	$R_1 = 0.0101$ , $wR_2 = 0.0148$ [0.0152]	
R indices (all data)	$R_1 = 0.0114$ , $wR_2 = 0.0158$	
Extinction coefficient	$0.00040(3)$	
Largest diff. peak and hole	0.381 and $-0.743$ e/Å <sup>3</sup>	

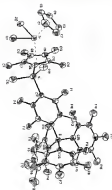


Figure S-33 Thermal ellipsoid plot for 2-6

**Table 3.3** Selected Bond Distances (Å) and angles (deg) for (2-4)

Zn-C10	2.4407(17)
Zn-C12	2.5012(16)
Zn-centroid C1-C10	2.306
Zn-centroid C15-C16	2.307
Zn-C5	2.473(3)
Zn-C7	2.525(4)
Zn-C9	2.525(4)
Zn-C9	2.525(4)
Zn-C10	2.525(4)
N-C19	1.843(9)
N-C21	1.844(9)
N-C20	1.848(9)
N-C23	1.875(8)
C18-F1	1.203(4)
C11-Br C12	82.19(4)
Centroid Zn-Centroid	131.2
C18-B-C12	91.5(4)°
C18-B-C19	91.7(5)°
C12-B-C19	88.2(5)°
C18-B-C10	88.1(4)°
C12-B-C10	93.4(5)°
C18-B-C15	91.2(5)°
C12-B-C15	88.3(5)°
C18-B-C6	91.08(14)°
C12-B-C6	93.4(5)°
C18-B-C17	93.6(5)°
C12-B-C17	88.0(5)°
C18-B-C17	93.4(5)°

### Conclusions

The synthesis of a new macro/monomer ligand for zinc insertion chemistry analysis has been developed. The macro/monomer ligand is attached to the C<sub>60</sub>-ring through a robust dimethyl silyl linker that should stay intact during the polymerization process. Metal complexes produced bearing this ligand have been isolated as neutral metalloocene complexes. Several methods were attempted to generate the macro-methyl

experiments, but all routes were unsuccessful. The unsuccessful isolation of the enantiomer is probably due the highly reactive metal species that is generated upon the removal of one of the allyl groups. Therefore, to successfully isolate the enantiomer the reactivity of the metal center should be decreased by the incorporation of electron releasing ligands on the metal center.

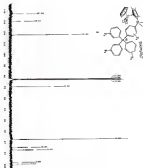


Figure S.20  $^{13}\text{C}$  spectrum of compound 3d.

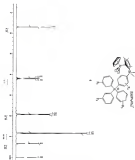
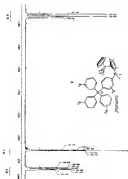


Figure S104.  $^1\text{H}$  spectrum of compound 24.

Figure S-15  $^1\text{H}$  spectrum of compound 14b.



## Chapter 2

### SYNTHESIS OF BORATE-CONTAINING NITROGEN LIGANDS FOR THE USE AS PHOTOCATALYTIC OLIGOMER POLYMERIZATION CATALYSTS

#### Introduction

As discussed in Chapter 1, there is strong interest in the use of soluble, early and late transition-metal catalysts for the polymerization of olefins.<sup>1-4</sup> These well-defined systems are highly active and can serve as valuable mechanistic models for the polymerization process. Conceivably, the most important aspect of the catalyst system is the ability to tailor polymer properties by simply altering the catalyst structure and the ligand environment. Accordingly, a great deal of research has focused on how ligands affect the catalyst activity and polymer properties.<sup>5-12</sup> Nitrogen-containing ligands have had a significant impact on polymerization catalysis, allowing late transition metals (such as Ni, and Pd) to be used as polymerization catalysts,<sup>13a-13d</sup> and also made the copolymerization of higher olefins with ethylene more efficient.<sup>13e-13f</sup>

Evans and co-workers<sup>13g-13i</sup> demonstrated that the reactivity of neutral 14-electron  $\pi$ -conjugated silyl complexes could be enhanced by replacing the two cyclopentadienyl rings with a dieneone functionalized  $\pi$ -conjugated porphyrin-like ligand [C<sub>5</sub>H<sub>4</sub>(Me)<sub>2</sub>SiMe<sub>2</sub>]<sub>2</sub>N-silyl[O]<sup>2-</sup>. The weaker  $\pi$ -donating character of the ligand enhances the metal's Lewis acidity by reducing the formal electron count of the metal complex by two. Steric crowding is also reduced around the  $d^2$  metal center by the replacement of the incredibly bulky pentamethylcyclopentadienes with the weak group

The reduced steric crowding of the metal increases the pocket size of the catalyst and makes an additional varied molecular orbital available for reaction. Although the rates of ethylene polymerization are relatively slow for these sandwich complexes, the ligand system can be applied to other transition metal systems.

Following Bercaw's findings, researchers at Exxon<sup>125</sup> and Dow<sup>126</sup> independently applied the ligand system  $(Cp)_2Mn(BH_3R)^2$  ( $R = alkyl$ ,  $R' = alkyl$  or aryl) to group 4 metals (Ti, Zr, and Hf) (generally known as constrained geometry catalysts). The constrained geometry catalysts, when in the presence of MAO, are highly active for the *co*-polymerization of ethylene with  $\alpha$ -olefins.<sup>125,126,127</sup> The constrained geometry catalysts allow a ready and random incorporation of the *co*-monomer over a wide composition range.<sup>128</sup> In general, the open nature of the catalyst does not permit the same control of  $\alpha$ -olefin tail groupality leads to random polymers.<sup>129-131</sup>

Another important class of olefin metathesis catalysts are the diatomic ligands developed by Brookhart and co-workers.<sup>132-135,137-139</sup> These diatomic ligands [ $(\eta^5-Cp)(R_2C=CR)-NiAr$ ] allowed his transition metals like nickel, (Figure 5.1) and palladium to polymerize ethylene to high molecular weight when in the presence of MAO. In contrast to early transition metal systems, late transition metal catalyst were known to only dissociate  $\alpha$ -olefins due to the back bonding  $\beta$ -hydrogen elimination reaction.<sup>132</sup> The proposed mechanism of the catalyst is similar to the earlier metal catalyst with the distinct difference that the catalyst can rest as the ethylene alkyl complex.<sup>133</sup> Highly branched and amorphous polymers are produced due to the relative stability of the  $\alpha$ -olefin resting state. The key function of this ligand is that it enables the associative displacement of the olefin because of the strong back of the diatomic ligands.

The aryl ligands are roughly perpendicular to the square plane and the other substituents block the axial position of the catalyst when associative displacement is known to occur.

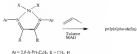


Figure 3-1 Brookhart catalyst.

The distinct variety of the aforementioned ligands makes them very attractive for use in the preparation of metallocene catalysts (Figure 3-2). Catalyst-cation interaction may have a dramatic effect on the activity due to the increased positive rate of the constrained geometry catalyst, and the different pocket shape and size of the diatomic catalyst.

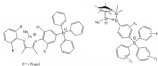


Figure 3-2. Target Zirconocenes

## Experimental Section

### General Considerations

All manipulations were performed under an Argon atmosphere using standard Schlenk techniques or under  $N_2$  in a Vacuum Atmosphere glove box. Glassware was oven dried prior to use. Solvents were distilled and stored over 4-Å molecular sieves in sealed flasks under argon. Diethyl ether and tetrahydrofuran were dried by distillation from N-butyloxycarbonyl-L-lysine. Pentane was dried by distillation from  $N_2$ . NMR solvents were purchased from Cambridge Isotopes and were dried over 4-Å molecular sieves and not further purified. TMSCl was purchased from Aldrich and distilled prior to use. *p*-benzoquinone, *p*-bromo-2,6-dimethylbenzoic acid,  $BF_3$ ,  $Bu_4NF$ , THCl,  $ZnCl_2$ , LDA (2.0M in heptane/ethylmagnesium/THF), and  $SnCl_4$  (2.0M in benzene) were purchased from Aldrich and used as received.  $PdCl_2(PPh_3)_2$  was purchased from Stouffer Scientific and used as received. The chlorobis(methyl)-oxaziranyl-cyclopropanone was prepared according to a published procedure.<sup>12</sup> Protons and  $^{13}C$  NMR spectra were obtained by using either a Varian VXR-300, Varian Gemini-400, or QNP 300 spectrophotometer.  $^{19}F$  NMR spectra were obtained on a Varian VXR-300 spectrophotometer.  $^{19}F$  NMR chemical shifts were referenced relative to the fluorine resonance at 0 ppm in  $CFCl_3$ .

***p*-Br-2,6-di- $BF_3$ - $C_6H_3$ -PCMPQ (3-4).** To a solution of *p*-Br-2,6-di- $CF_3$ - $C_6H_3$ -PCMPQ (1.0g, 3.1 mmol) in 40 mL of THF at  $-78^\circ C$  was added LDA (2.0M, 5.0 mmol) and solution was stirred for twenty minutes. To this solution was added THCl (5-65 mL, 5.0 mmol) and stirred for ten minutes then warmed to room temperature and stirred for an additional thirty minutes. Cooled for resulting light yellow solution to  $-78^\circ C$  was

then added an additional equivalent of LDA (2.57 ml, 3.1 mmol) followed by a second equivalent of TMSCl (0.61 ml, 3.1 mmol). The solution was allowed to warm to room temperature and stirred overnight. Solvent was removed *in vacuo* and residue was extracted twice with pentane. The pentane solution was reduced and cooled to  $-78^{\circ}\text{C}$  and filtered and dried, resulting in a light yellow solid yielding **3-1** (1.31g, 86%).  $^1\text{H}$  NMR (21  $^{\circ}\text{C}$ ,  $\text{C}_6\text{D}_6$ )  $\delta$  = 7.79(s, 2H), 2.6-2.4(m), 0.6-0.4 (s, 10H).

***p*-Br-2,4,6- $\text{PF}_3\text{C}_6\text{H}_2\text{N}(\text{TMOS})_2$  (**3-11**). To a solution of *p*-Br-2,4,6- $\text{PF}_3\text{C}_6\text{H}_2\text{N}(\text{OH})_2$  (0.53g, 2.3 mmol) in 50 ml of THF at  $-78^{\circ}\text{C}$  was added LDA (1.5 ml, 7.6 mmol) and solution was stirred for one hour. To this solution was added TMSCl (0.45 ml, 3.5 mmol) and stirred solution to warm to room temperature and stirred for one hour. The solution's color lightened as the reaction progressed. Resulting solution was again cooled to  $-78^{\circ}\text{C}$  and a second equivalent of LDA (1.75 ml, 3.3 mmol) was added and solution became light yellow, stirred for thirty minutes before a second equivalent of TMSCl (0.45 ml, 3.3 mmol). The solution was allowed to warm to room temperature and stirred overnight. Stopped solvent and extracted the residue with pentane 2 x 50 ml. The pentane solution was reduced and cooled to  $-78^{\circ}\text{C}$  producing a light yellow solid. Filtered off solid and dried producing **3-11** (1.35 g, 94%).  $^1\text{H}$  NMR (21  $^{\circ}\text{C}$ ,  $\text{C}_6\text{D}_6$ )  $\delta$  = 7.74(s, 2H), 3.40 (s, 2H), 1.04 (s, 12H), 0.60 (s, 10H).**

**$\text{Li}_2\text{P}(\text{BF}_3)_2\text{Li}^+\text{C}(\text{CH}_3)_2\text{C}_6\text{H}_2\text{N}(\text{TMOS})_2$  (**3-12**). To a solution of **3-1** (1.6 g, 4.6 mmol) in 50 ml of THF at  $-78^{\circ}\text{C}$  was added  $\text{BuLi}$  (1.05 ml, 4.6 mmol) and solution was stirred for 30 minutes. To this solution was added a  $-78^{\circ}\text{C}$  THF solution (20 ml)**

of  $\text{Bi}(\text{C}_6\text{H}_5)_3$  (1.13 g, 4.4 mmol). The resulting light yellow solution was stirred at  $-78^\circ\text{C}$  for an hour and then allowed to warm slowly to room temperature and stirred overnight. The solvent was removed in vacuo, resulting in a yellow oil. The oil was washed 3 x 20 ml of pentane yielding a white solid. Solid was dried in vacuo yielding **3-5** (2.8 g, 13%).  $^1\text{H}$  NMR ( $25^\circ\text{C}$ ,  $\text{C}_6\text{D}_6$ ):  $\delta$  = 7.34 (br s, 6H), 7.15 (br s, 3H), 7.05 (s, 4H), 6.83 (s, 1H), 3.55 (sept, 4H), 2.15 (s, 6H), 1.5 (peak, 6H), 0.88 (s, 18H).

**$\text{Li}(\text{p-Tol})_2\text{BF}_4\text{-}2,6\text{-di-}i\text{-Pr}_2\text{C}_6\text{H}_3\text{N}(\text{THF})_2(\text{THF})_2$  (3-6)** To a solution of **3-5** (1.45 g, 3.6 mmol) in 20 ml of THF at  $-78^\circ\text{C}$  was added  $\text{BuLi}$  (1.44 ml, 3.6 mmol) and solution was stirred for 30 minutes. To this solution was added  $\text{BF}_3$  (1.07 g, 3.6 mmol in 20 ml of THF). The resulting yellow solution was stirred at  $-78^\circ\text{C}$  for an hour and then allowed to warm slowly to room temperature and stirred overnight. The solvent was stripped yielding a yellow oil, which was washed 3 x 20 ml of pentane resulting in a white solid **3-6** (2.8 g, 65%).  $^1\text{H}$  NMR ( $25^\circ\text{C}$ ,  $\text{C}_6\text{D}_6$ ):  $\delta$  = 7.92 (br s, 6H), 7.3 (br s, 3H), 7.25 (s, 4H), 7.01 (s, 3H), 7.61 (sept, 4H), 3.1 (m, 16H), 1.39 (s, 12H), 1.2 (m, 18H), 0.2 (s, 18H).

**$2\text{BF}_3\cdot\text{p-Tol}_2\text{-}2,6\text{-di-}i\text{-Pr}_2\text{C}_6\text{H}_3\text{N}(\text{THF})_2$  (3-7)** A schlenk flask was charged with **3-6** (1.0 g, 1.2 mmol) and  $\text{THF}$  (1.27 g, 4.9 mmol). 30 ml of THF was added to the mixture resulting in a light brown solution. The resulting solution was heated to  $70^\circ\text{C}$  and stirred for two days. Over the period of the reaction the solution turned dark brown. The resulting dark brown solution was stripped of the solvent, yielding a brown oil. The oil was washed two times with ~ 10 ml of  $\text{H}_2\text{O}$  producing a tan solid. The tan solid was

collected by filtration and *in vacuo* dry yielding 3.8 (2.75 g, 93%) <sup>1</sup>H NMR (25 °C, CD<sub>3</sub>CN/C<sub>6</sub>D<sub>6</sub>) δ = 7.41 (br s, 6H), 7.1 (br s, 1H), 7.0 (s, 4H), 6.81 (s, 2H), 3.28 (s, 8H), 3.06 (s, 12H), 2.3 (quint, 8H), 1.88 (s, 6H), 1.28 (quint, 8H), 0.81 (s, 12H).

**γ-Bu-C<sub>6</sub>F<sub>4</sub>N(Tf)<sub>2</sub> (3-7).** *γ*-Bu-C<sub>6</sub>H<sub>4</sub>NH<sub>2</sub> (3-6). A round-bottom flask was charged with 3-6 (3.5 g, 11.2 mmol) and TfAP (1.9 g, 5.4 mmol) and the whole mixture stirred with ~ 20 ml of THF. The yellow solution was heated to 78 °C and stirred for four days. The resulting dark brown solution was stripped of the solvent yielding a brown oil. Washed the resulting oil was washed with ~ 20 ml of H<sub>2</sub>O which resulted in a tan solid. The tan solid was collected by filtration and *in vacuo* dry yielding 3.4 (3.75 g, 94%) <sup>1</sup>H NMR (25 °C, CD<sub>3</sub>CN/C<sub>6</sub>D<sub>6</sub>) δ = 7.45 (quint, 4H), 7.0 (s, 4H), 6.8 (s, 2H), 3.42 (br s, 2H), 3.27 (quint, 8H), 2.9 (sept, 2H), 1.8 (quint, 8H), 1.23 (quint, 8H), 1.04 (d, 12H), 1.0 (quint, 12H).

**γ-Bu-C<sub>6</sub>F<sub>4</sub>N(Tf)<sub>2</sub> (3-7).** To a solution of *γ*-Bu-C<sub>6</sub>H<sub>4</sub>NH<sub>2</sub> (1.6H, 4.75 mmol) in 20 ml of THF at -78 °C was added LDA (3.45 ml, 6.75 equiv) and allowed to stir for thirty minutes. To the resulting solution was added TfAP (3.45 ml, 6.75 mmol), the solution turned colorless immediately. Allowed the solution to warm to room temperature and stirred for an additional hour. Resulting solution was again cooled to 78 °C and a second equivalent of LDA (3.45 ml, 6.75 mmol) was added and allowed to stir for thirty minutes before a second equivalent of TfAP (3.45 ml, 6.75 mmol) was added. The resulting reaction solution was allowed to warm to room temperature and stirred overnight. Stripped off solvent and extracted the residue with pentane 2 x 20 ml

Reduced the extract and cooled to  $-78^{\circ}\text{C}$  producing a light brown solid. Removed the remaining solvent and pumped the solid to dryness. Allowed the residue to warm to room temperature resulting the solid melting producing a viscous brown oil 3.3 (2.82 g).

$^{1}\text{H}$  NMR ( $25^{\circ}\text{C}$ ,  $\text{C}_6\text{D}_6$ )  $\delta$  = 6.66 (s, 1H),  $^{19}\text{F}$  NMR ( $25^{\circ}\text{C}$ ,  $\text{C}_6\text{D}_6$ )  $\delta$  = -118.43 (s, 3F), -143.25 (s, 2F).

**Li $\beta$ -BrC $_6$ F $_5$ -C $_6$ F $_5$ /Ag(TMS) $_3$  (3-6):** To a solution of 3.3 (2.13 g, 2.96 mmol) in 20 ml of  $\text{Ph}_3\text{O}$  at  $-78^{\circ}\text{C}$  was added a  $\text{BrLi}$  (10 ml, 2.96 mmol) and allowed to stir for thirty minutes until a white precipitate appeared.  $\text{AgC}_6\text{F}_5$  (1.50 g, 2.96 mmol) was dissolved in 20 ml of  $\text{Ph}_3\text{O}$  and added to the reaction mixture. The reaction was allowed to stir for at  $-78^{\circ}\text{C}$  for three hours then warmed to room temperature. Upon warming a white precipitate began to come out of solution. Allowed the solution to stir as additional three hours, then solution was decanted from the white precipitate. The resulting solid was washed with an additional 10 ml of  $\text{Ph}_3\text{O}$  and pumped to dryness producing 3.4 (2.49 g, 83%).  $^{1}\text{H}$  NMR ( $25^{\circ}\text{C}$ ,  $\text{C}_6\text{D}_6$ )  $\delta$  = 5.23 (qpt, 4H), 5.35 (s, 2H), 5.181 (s, 1H), -0.019 (s, 9H),  $^{19}\text{F}$  NMR ( $25^{\circ}\text{C}$ ,  $\text{C}_6\text{D}_6$ )  $\delta$  = -110.92 (br s, 4F), -134.57 (br s, 2F), -138.26 (br s, 2F), -138.21 (br s, 2F), -160.9 (br s, 2F), -167.41 (quint, 4F), -167.91 (br s, 2F).

**Ag $\beta$ -BrC $_6$ F $_5$ -C $_6$ F $_5$ /Ag(TMS) $_3$  (3-8):** A flask was charged with 3.4 (1.78 g, 1.73 mmol) and TBAF (2.82 g, 4.88 mmol). To the solid mixture was added 20 ml of THF and solution was heated to  $70^{\circ}\text{C}$  and stirred for 36 hours. As reaction progressed the solution turned a dark brown. Cooled the reaction solution to room temperature and



reduced solution by 33%. 20 ml of  $H_2O$  was added to the reduced solution producing a white precipitate. Filtered the white precipitate and washed with an additional 20 ml of  $H_2O$  dried in air producing 3-8 (1.58 g, 49 %).  $^1H$  NMR (25  $^{\circ}C$ ,  $C_6D_6/CD_3CN$ )  $\delta$  = 7.23 (br s, 2H), 7.14 (peak, 1H), 7.75 (s, 2H), 7.1 (peak, 2H), 6.48 (peak, 1H).  $^{13}F$  NMR (25  $^{\circ}C$ ,  $C_6D_6$ )  $\delta$  = -121.3 (peak, 6F), -134.1 (peak, 2F), -162.3 (q, 2F), -162.5 (q, 2F), -163.4 (q, 2F), -166.3 (peak, 6F).

**$PF_6^- [Pt(C_6F_5)_2(C_6F_4NMe_2)_2] (3-10)$**  A flask was charged with 3-8 (3.35 g, 9.66 mmol) and  $PF_6Cl$  (1.86 g, 17.4 mmol) then dissolved in 20 ml  $CH_2Cl_2$ . The resulting solution was stirred for three hours and then reduced the solvent by 50%. 20 ml of  $H_2O$  was then added to the solution yielding a white precipitate. The white solid was filtered to dry in air yielding 3-10 (3.76 g, 74 %).  $^1H$  NMR (25  $^{\circ}C$ ,  $CD_3CN$ )  $\delta$  = 7.45 (peak, 2H), 6.13 (br s, 2H),  $^{13}F$  NMR (25  $^{\circ}C$ ,  $CD_3CN$ )  $\delta$  = -121.42 (peak, 6F), -131.32 (br s, 2F), -163.14 (q, 2F), -163.33 (q, 1F), -168.3 (q, 2F), -167.35 (peak, 6F).

**$PF_6^- [Pt(C_6F_5)_2(C_6F_4NMe_2)_2][Pt(C_6H_4)(Me)_2] (3-11)$**  To a solution of 3-10 (3.36 g, 9.23 mmol) in 20 ml of THF at -78  $^{\circ}C$  was added a  $Me_2C$  (5.10 ml, 9.27 mmol) and allowed to stir for two hours. Allowed the solution to warm to room temperature and removed solvent in vacuo resulting in oil. Product was extracted with 1.5 ml of THF and product was precipitated with 10 ml of pentane. A yellow solid was collected by filtration and dried in vacuo yielding 3-11 as a light yellow solid (3.17 g, 58 %).  $^1H$  NMR (25  $^{\circ}C$ ,  $CD_3CN$ )  $\delta$  = 7.45 (peak, 2H), 6.13 (br s, 1H), 5.32 (br s, 1H), 3.55 (s,

$\text{H}_2\text{O}$ , 1.71 (s, 6H), 0.12 (s, 6H).  $^{19}\text{F}$  NMR (25  $^\circ\text{C}$ ,  $\text{CDCl}_3$ )  $\delta$  = -112.42 (malt-, 6F), -131.0 (for s, 3F), -140.8 (malt-, 3F), -143.14 (s, 3F), -143.31 (s, 1F), -145.39 (malt-, 6F).

## Results and Discussion

Our research interest has focused on preparing transition metal complexes that contain a nitrogen ligand with a covalently linked boronic group. Our approach in choosing this goal is an addition-based synthesis developed in our group by Miller.<sup>17b</sup> This synthesis method can be applied to two different ligand systems. The diatomic ligand originally used by Beuchat and co-workers<sup>2, 9-11, 13, 15a</sup> for the polymerization of olefins with late transition metals like iron, nickel, and palladium. The second type is the octahedral geometry ligand first developed by Beuter and co-workers<sup>13, 15b</sup> that can be applied to group first metals. These already two ligands offer a very good opportunity to attempt the preparation of  $\pi$ -alkene complexes.

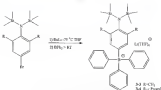
The diatomic ligands used for late transition metal consists of two components: a substituted aniline and di-borane. Therefore we chose to prepare borane containing aniline that we could then condense once the di-borane is prepared the  $\pi$ -olefin-diatomic ligand. The synthesis is accomplished by first protecting the amine group of the aniline with triisopropylsilyl (TIPS) groups. TIPS was the protecting group of choice because of their stability toward strong bases and they can also be easily removed without employing strong acids, to which the boranes are sensitive.<sup>18</sup>



**Figure 3-3: Synthesis of the protected enone**

Protection of the enone is easily accomplished (Figure 3-3) in THF by the stepwise addition of one equivalent of lithium diisopropylamide (LDA) followed by the addition of trimethylsilylchloride (TMSCl). This procedure is then repeated to produce the fully protected enone. It is possible to add 2-equivalents of LDA, followed by the addition of 2 equivalents of TMSCl, but cleaner products are obtained from stepwise additions.

Extending 3-3 with hexanone is separate from the LiCl, and cooling the resulting hexanone solution to  $-78^{\circ}\text{C}$  yields a light yellow crystalline solid in high yield (>80%). Isolation of 3-4 was similar to the above reaction with the exception that it was isolated as a light brown solid due to high yield (>70%).



**Figure 3-4.** Synthesis of the p-toluenesulfonate

Attaching the tosylate to the protected sulfonate can be achieved by the addition of a THF solution of a  $\text{SnLi}_2$  at  $-78^\circ\text{C}$  followed by the addition of a THF solution of tosylchloride (Figure 3-4). The tosylate-tosylate exchange reaction is complete within 10 minutes. The subsequent addition of the  $\text{LiPF}_6$  yields the lithium salt of the p-toluenesulfonate. Yields of these reactions are good (>80%) and can be achieved on a multi-gram scale.

Deprotection of these compounds has proved rather difficult. There are several methods that can be applied to this system for the removal of the TMS groups from the sulfonate.<sup>122</sup> Methanolicysis was first attempted but decomposition of the tosylate moiety was observed. The methanol mixture showed no reactivity at room temperature, but heating the methanolic solution to  $80^\circ\text{C}$  or higher did result in removal of the TMS groups but tosylate decomposition was observed.



An alternative fluoride source is the readily available tetrabutylammonium-fluoride tri-hydrate (TBAF). TBAF has some advantages over the alkali metal fluoride system, it is soluble in THF, and the reaction can be performed at low temperatures. A disadvantage associated with this reagent is that it is very hygroscopic and the water must be removed without decomposing the compound. Fluorides are not sensitive to trace amounts of water therefore this reagent could be applied to this system to remove the TBS protecting groups. Reacting equimolar amounts of TBAF in THF at 70 °C (Figure 3-4) produces compounds 3-8 and 3-9. Depending on the nature of the substituents of the aryls, the reaction can be complete within 2-3 days. The mechanism<sup>120</sup> is believed to be the same for both systems (Figure 3-4) where the fluoride source attacks the Si of the protected aryls to produce an alkoxide intermediate, which then reacts with one of the water groups of the TBAF forming a HF bond. The product is then reported to produce the fully deprotected product. Although the reaction consumes only two equivalents of TBAF, the reaction requires an excess amount of TBAF to drive the reaction to completion.

The reaction is dependent on the amount of TBAF used, using just two equivalents reaction did not go to completion. Conversely using ten fold excess the reaction is complete at 24 hours, but isolated products were only and proved to be difficult to purify. Optimum conditions consist of four equivalents of TBAF in THF at 70 °C. Products are isolated as an oil, then washed with water producing a light brown solid. The lithium cation gets displaced in the THF solution by the  $\text{NBu}_4^+$  cation.

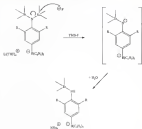


Figure 3-4. Mechanism of deprotonation of the sulfonamide

The difference in reactivity between the  $\text{Et}_3\text{N}$  system and the TBAH system can be attributed to the difference in base pairing between the two cations.  $\text{Et}_3\text{N}^+$  is not very solvated in solution even with the crown ether present, therefore reducing the amount of fluoride ions in solution. In contrast, between the large tetrabutylammonium cation and the fluoride anion is minimal in THF solvent. In solution the  $\text{F}^-$  ion can be described as "naked" meaning that the ion acts independently. Reduced interactions between the cation and anion increase the nucleophilicity of the fluoride ion. The increased nucleophilicity promotes attack at the silicon to generate the cyclic intermediate.

Hydrolysis of the intermediate by  $H_2O$  and repeating starts at the silicon-germanium bond of products (3-5, 3-6).

Once the deprotected monomer is obtained the next stage is to prepare the silicone liquid. Monomers are best prepared by an acid catalyzed reaction in the presence of a ketone (Figure 3-7).<sup>10</sup>



Figure 3-7. Scholl ester reaction.

In general, the Scholl ester reactions between react slowly and often require high temperatures and extended reaction times along with the use of an acid catalyst. When often the equilibrium must be shifted, usually by removal of water from the reaction using dehydrating agents, such as molecular sieves or  $MgSO_4$ , or by the removal of the ester through precipitation. The reaction of 2,4-diacetylphenol with 2,3-hexanedione clearly affords the symmetric dimer liquid. This reaction in the presence of formic acid, previously described, is modified at lower temperatures to give the dimer as a yellow solid.

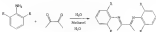


Figure 3-8. Preparation of a dimer.

This reaction cannot be applied to the ketone containing methylates due to the acid sensitivity of the ketone moiety. Since the ester-forming reaction is an equilibrium,



method applied for the synthesis of the symmetric *p*-benzo-containing diesters. Materialized on shifting the equilibrium to the product side.<sup>101</sup> Molecular sieves were then employed as a dehydrating agent to remove the water produced during the reaction that would drive the equilibrium to the desired product. The reaction was stored at reflux for three days, after work up only the starting materials were recovered.  $\text{MgHCl}_2$  was also used as a dehydrating agent under the same conditions as the molecular sieve reaction, and the same result was obtained. Literature has suggested that a 3:1 mixture of  $\text{CH}_2\text{Cl}_2/\text{MeOH}$  will condense a primary amine with a ketone without the aid of a catalyst.<sup>102</sup> Thus an solvent reaction mixture was stored at reflux for four days and no apparent reaction had occurred. These methods have offered very little success to produce the *p*-benzo substituted diesters, therefore other methods should be sought to provide the most convenient and practical method to produce the asymmetric diester ligand.

### Concluded geometry

The synthesis of the benzo containing sodium can also be applied to the condensed green-ruby ligand system. For this ligand system we chose to employ the fluorinated sodium and fluorinated benzo for the same reasons that were introduced in chapter 2. The synthesis of the benzo-sodium is similar to that of the methyl and isopropyl substituted sodiums 3-6 and 3-8. Polymerizing the fluorinated sodium produces compound 3-7 as shown and at room temperature in high yield (>75%).<sup>103</sup> The NMR spectrum for 3-7 displays a single peak at -0.007 ppm while the  $^{19}\text{F}$  NMR displays two sets of doublets at -131.43 and 145.26 ppm. Cooling the isolated oil to -6 °C solidifies the compound, which makes for easier handling. Attaching the

*tri(n-butylammonium)phosphate* in the solution is accomplished in the presence of  $\text{BuLi}$  in a  $\text{Pr}_2\text{O}$  solution at  $-78^\circ\text{C}$ . The solution was allowed to stir at  $-78^\circ\text{C}$  for three hours and allowed to warm to room temperature slowly producing compound **3-6** as a white crystalline solid in high yield ( $>85\%$ ). The  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) spectrum changes significantly the TMS groups split into two signals at  $-0.049$  and  $0.181$  ppm these peaks are accompanied with the  $\text{t-Pr}_2\text{O}$  resonances. The  $^{13}\text{C}$  also changes significantly, resonances associated with the triflate have been localized and shifted up field to  $-110.3$  and  $134.2$  ppm, resonances associated with the butane chain appear at  $-13.6$ ,  $-13.2$  % and  $-13.1$  ppm.

Deprotection of **3-6** can be accomplished in the same manner as with the *para*-substituted aromatic compounds, with  $\text{TBAH}$ . The reaction proceeds smoothly in THF, requiring only 24 hours for the reaction to be completed. Compound **3-8** is isolated, after washing the browned oil with water, as a white crystalline solid in good yield ( $>70\%$ ) with  $\text{NMR}_{\text{H}}$  at the resonance. The  $^1\text{H}$  NMR is remarkably clean containing only three resonances associated with the  $\text{NMR}_{\text{H}}$  cation at  $0.03$ ,  $0.13$ , and  $0.45$  ppm. While the anionic protons resonate with almost singlet at  $3.42$  ppm. The  $^{13}\text{C}$  NMR reveals that the triflate fluorines have shifted significantly, trifluoromethane resonates at  $-110$  and water fluorine resonates at  $-134$  ppm.

Procedure for the construction of the fluorinated, gem-difluorinated consists of the deprotection of the triflate and then reacting with  $(\text{C}_6\text{H}_5)_2\text{BF}_2\text{Mg}_2\text{O}_2$ . The *tri*(*n*-butylammonium)-cations fluorinated is reaction towards  $\text{BuLi}$  that subsequently generates  $\text{NMR}_{\text{H}}$  and butane as by-products. Exchanging the triflate with the non-reactive *tri*(*n*-butylammonium)-*para*-phosphorylphosphorylphosphonate ( $\text{PPP}$ ) will produce compound **3-**



Two procedures are available to complex the acetate ligand to an electrophilic group from metal center. Bercaw's<sup>101,102</sup> approach utilizes the methane reaction of the dithiocarbate of  $[Cp_2Zr(CH_3)_2]^{2+}$  with the metal halide. Chalk and co-workers<sup>103,104</sup> have employed this strategy to synthesize a variety of Zr and Ti compounds. Unfortunately this route leads to low isolated yields and perhaps a mixture of products.

An alternative strategy employs the cross elimination reaction<sup>105</sup> recently employed by Tschorn and co-workers<sup>106,107</sup> for the synthesis of some monocyclopentadienylmetallocenes with a two or three alkyl-chain linker. This method was employed by reacting compound 3-11 with  $Zr(CH_3)_4$  in toluene at 50°C under argon flow. The reaction showed several reaction products, one of which appeared to be the redolent product 3-12, but separation of the reaction mixture was attempted but was not successful due to the same volatility properties of all the products. Alternative solvents were employed in attempt to change the product distribution but these attempts were also unsuccessful.



Figure 3-18 Methylation of compound 3-11

## Chapter 4

# OXIDATION OF CYCLOHEXANE WITH FI(R)-CATALYSTS

## Historical Development

Oxidation of organic compounds has been of interest to humanity since the discovery of fire. Some of the basic understanding of oxidation came from Lavoisier and his explanation of combustion, which marked the modern era of oxidation chemistry.<sup>1,2</sup> Initial studies of oxidation came during the early nineteenth century that related the decomposition of organic materials, like rubber and natural oils, to the absorption of oxygen. The initial investigations on oxidation reactions were focused on the oxidation of the oxidative degradation process. Modern mechanistic understanding of the oxidation process was not developed until the 1940s when the theory of autoxidation was presented to describe free-radical chain chemistry.<sup>3,4</sup> Autoxidation can be defined as an oxidation reaction of an organic substrate with molecular oxygen, usually occurring via a free-radical chain process. Since the 1940's, a vast body of literature has accumulated on hydrocarbon oxidation. These studies agree on the basic underlying principles of oxidation, but several important points remain in disagreement due to the complex nature of even the simplest materials. The scope of oxidation chemistry is massive and encompasses a wide range of reactions and processes. This discussion chapter will provide a brief introduction to the homogeneous-catalyzed oxidation of hydrocarbons.

*Autocatalysis* processes consist of a very large number of radical reactions that occur simultaneously.<sup>142</sup> These reactions can be spontaneously initiated, but are usually promoted by trace quantities of metal complexes. Although autocatalyses are generally highly exothermic reactions, they do not readily undergo spontaneous combustion in air, largely owing to the high activation energies for initiation. In other words, metal complexes are difficult to initiate, but once the reaction is initiated, it is often difficult to stop short of the fully oxidized products, namely carbon dioxide and water. Control of these autoxidation reactions is essential, hence an industrial standpoint for promoting selective oxidation of organic feedstocks to produce a variety of useful chemical reagents.

Soluble transition metal complexes play an important role in selective oxidation of hydrocarbon substrates. Metal catalyzed hydrocarbon oxidation represents one of the most challenging and important transformations in the chemical industry.<sup>24, 33, 34</sup> Metal-catalyzed liquid phase oxidations are used for many large-scale oxidation processes. Some early applications of homogeneous liquid-phase catalytic oxidations came in the late 1950's when the *Mohr* process and the *Wacker* process were introduced to industry.

The *Wacker* process involves a palladium-catalyzed oxidation of ethylene to acetaldehyde in water [Figure 4-1]. Mechanistically this process incorporates two catalytic cycles:



**Figure 4-1.** Wacker process, conversion of ethylene to acetaldehyde

oxidation of ethylene by  $\text{Pd}^{2+}$  and water (which leads to chlorination of acetaldehyde and reduction of  $\text{Pd}^{2+}$  to  $\text{Pd}^0$ ), regeneration of the catalyst  $\text{Pd}^{2+}$  by the oxidation of  $\text{Pd}^0$  by  $\text{Cu}^{2+}$  ( $\text{Cu}^{2+}$  is reduced to  $\text{Cu}^+$ ). Finally the  $\text{Cu}^{2+}$  catalyst is regenerated by an oxidation (Figure 4-2).<sup>128</sup> This homogeneous catalytic system is carried out at 120–115 °C and 150% of ethylene and ac producing acetaldehyde in high yield (>99%). The drawback to the Wacker system is that the reaction solution is highly corrosive due to the presence of Cl<sup>-</sup> ions and oxygen in the system. The corrosive nature of the system requires the use of special alloy materials that adds to the cost of production of acetaldehyde. Nevertheless, the relative mild reaction conditions (100 – 110 °C) and the high efficiency (>99%) of the Wacker process make it a viable industrial process for the production of acetaldehyde.

The Mallory-Kricheldorf process involves the oxidation of alkenes to  $\alpha,\beta$ -unsaturated ketones. Selective oxygen atom transfer from the metal catalyst to the olefinic substrate is the principle product forming reaction.<sup>129–130</sup> The source of oxygen in these systems is usually an oxygen peroxide rather than molecular oxygen. Complexes of metals in low oxidation states, like  $\text{Mo}(\text{CO})_6$  and  $\text{W}(\text{CO})_6$ , can be easily oxidized to their high oxidation states by the presence of organic peroxides. The mechanism has two competing transformations associated with this reaction, molybdenum-catalyzed peroxide decomposition, initiated by electron transfer between  $\text{Mo}(\text{V})$  and  $\text{Mo}(\text{VI})$ , and the molybdenum-catalyzed epoxidation by  $\text{Mo}(\text{VI})$ . The  $\text{Mo}(\text{VI})$ -hydroperoxide complex has been determined to be the active species for the epoxidation of alkenes (Figure 4-3).<sup>130</sup> *t*-Butyl peroxide is consumed in the reaction, which adds to the cost of

the production of oxoacids. This process is still an efficient process for the production of oxoacids as still is now today.

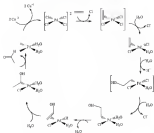
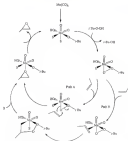


Figure 4.3: Catalytic cycle for Wacker oxidation of ethylene to acetaldehyde.<sup>102</sup>





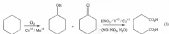
**Figure 4-6** Met-Cp<sub>2</sub>-tungsten-catalyzed epoxidation of propylene in the presence of a peroxydicarboxylate. Parts A and B are two different proposed pathways, in which oxetane can be released.<sup>100</sup>

Another more recent example of homogeneous oxidation involves the oxidation of cyclohexene by molecular oxygen. This type of reaction can be classified as an autoxidation reaction. As mentioned earlier, autoxidation reactions can occur without the presence of a catalyst but the reaction can be enhanced with the use of suitable transition metal catalysts. Cobalt and manganese octoate/plate salts have been used to initiate the free radical reaction by acting as a peroxydicarboxylate catalyst. The role of the catalyst is considered to be a peroxydicarboxylate catalyst. Metal catalysts

can interact with the peroxide in a couple of different ways (equations 1 and 2). The decomposition products can then propagate the radical chain reaction.



Cyclohexane oxidation is of considerable importance because it is the common starting material in the production of adipic acid, one of the major ingredients in nylon 6-6. The polysaccharide glucose is among the largest natural synthetic chemicals produced in the world each year, with a current global production of ca. 2 million metric tons.<sup>107</sup> This oxidation reaction proceeds in five steps, the first of which involves the oxidation of cyclohexane by oxygen in the presence of  $Co^{+3}$  like catalyst to produce cyclohexanol and cyclohexanone. Cyclohexanol and cyclohexanone are then further oxidized by nitric acid in the presence of  $N^{+3}$  and  $Ca^{+2}$  ions to selectively produce adipic acid.<sup>108</sup> This process (equation 3) is very efficient and produces high purity adipic acid.





adipic acid. The poor size of the solid acid catalysts is said to control the reactivity and selectivity of the oxidation. Iron and cobalt containing molecular species that have poor size commensurate with that of the cyclohexane molecules have been shown to oxidize cyclohexane to adipic acid, with conversion to adipic acid about 3-4% and long reaction times (5-20 hrs).<sup>124</sup>

Interesting examples of homogeneous systems include the work of Tsai and co-workers<sup>125</sup> where a relatively high concentration (3.01-3.04 M) of cobalt(III) acetate was employed as an oxidant and mediator



Figure 4-6 Oxidation of cyclohexane to adipic acid<sup>125</sup>

Tsai's system can reach up to 82% conversion and 70% selectivity. However, the formation of shorter chain acids and other by-products requires a costly purification step that has contributed to the absence of the Cobalt acetate process as industrial production. Other work on cobalt acetate systems include the efforts of Park et al.,<sup>126</sup> where they discussed higher conversions (~ 90%) and higher selectivity (~ 80%) by the addition of cyclohexanone. The addition of the cyclohexanone also shortened the oxidation period from 4 hours to about 10-40 minutes.<sup>127</sup> Cyclohexanone is more reactive toward oxidation than the cyclohexane itself. The increased reactivity associated with the addition of cyclohexanone is that the cyclohexanone acts as a radical initiator and will introduce radicals into the system, thus shortening the oxidation period. Although higher selectivity has been obtained with the Park's system

the production of adipic acid also requires extensive purification that hinders its industrial viability.

Initial investigations in our laboratory considered deep- and direct oxidation of cyclohexane to adipic acid. An efficient, Fe(II) phenanthroline based catalyst has been developed that will selectively oxidize cyclohexane to cyclohexanone and cyclohexanone and further a maximum conversion of about 8 %. Once the conversion of cyclohexanone and cyclohexanone reach 8% the system starts to produce adipic acid. The conditions of these reactions are mild ( $T = 125-145\text{ }^{\circ}\text{C}$ ) and use air as oxygen as an oxidant. After our preliminary investigations the best system was found to be a metal cyclohexanone with a cobalt(II) phenanthroline catalyst with decylpyrene as the oxidant.

The driving force behind this research was the potential to develop a single step synthesis for adipic acid that would not be need to be strict and. As mentioned before oxidation of cyclohexanone and cyclohexanone with air will produce  $\text{H}_2\text{O}$  and  $\text{NO}_x$  gases which are harmful greenhouse gases. Implementation of alternative technologies used by major producers of adipic acid has significantly reduced emissions of the harmful greenhouse gases by a. 95 %.<sup>19</sup> Therefore  $\text{H}_2\text{O}$  and  $\text{NO}_x$  emissions from adipic acid production is no longer a significant environmental concern.

## Experimental Section

### Instrumentation

Gas chromatography was done on a Hewlett Packard 5890 instrument equipped with a FID detector and a HP-5MS/Plot capillary column with helium as the carrier gas, or on 6820 GC instrument equipped with a TCD detector and a Carbowax B 5

packed column. Calibration curves were used to relate peak areas of the product with the peak areas of the internal standard.

### Materials

Cyclohexane was purchased from Aldrich and was distilled from calcium hydride before use. *p*-chlorobenzonitrile, 4,7-diphenyl-1,10-phenanthroline, 2,9-dimethyl-4,7-diphenyl-1,10-phenanthroline were purchased from Aldrich and used as received.  $\text{Ru}(\text{bpy})_3\text{Cl}_2$  was prepared according to a published procedure.<sup>25</sup>  $\text{Fe}(\text{acac})_3 \cdot 3\text{H}_2\text{O}$  was purchased from Met-Country and used as received.  $\text{Fe}(\text{acac})_3 \cdot 3\text{H}_2\text{O}$  was purchased from Aldrich and used as received.

### Preparation of $\text{Fe}(\text{acac})_3/\text{Trb}$

Total of 1 M  $\text{AgClO}_4$  aqueous solution was added to 10 ml of 0.05 M  $\text{FeCl}_3$  aqueous solution. A white precipitate ( $\text{AgCl}$ ) formed immediately upon the addition of the new aqueous solution. The solution was allowed to stir for three hours then filtered. The filtrate which contains an aqueous solution of  $\text{FeCl}_2$  to which two drops added 10 ml of an ethanolic solution 0.1 M diphen. Upon the addition of the diphen solution the solution immediately turned dark red. The dark red solution was then allowed to stir for 3 hours uncovered which allowed the solution volume to reduce to ~10 ml. The resulting solution contained a dark red precipitate, which was isolated and washed with small amounts of ethanol. Dark red solid was then dried in vacuum oven at 45 °C for 4 hours resulting in dark red powder (yield 87 %). Anal. Calcd. for

$\text{Fe}_2\text{C}_{10}\text{H}_{16}\text{N}_2\text{O}_2\text{F}_2\text{Br}_2(\text{TM})$  C, 45.74, H, 3.28, N, 4.22 Found(TM) C, 45.66, H, 3.33, N, 4.13

### Cyclohexane Oxidations with glass bottle reactor

These initial reactions were carried out in a 250-ml glass bottle reactor.

Reactions consisted of the following: catalyst ( $0.4 \times 10^{-3}$  mol), *o*-dichlorobenzene 18 ml (84 mmol), cyclohexane 3 ml (34 mmol), and 45 psi of air. The reaction solution was placed into a constant temperature oil bath at 126 °C, stirred with a magnetic stirrer, and reaction was allowed to proceed for six hours. Soluble products were analyzed by GC and aliquot acid was measured by man.

### Cyclohexane Oxidations with air

Oxidations were carried-out employing a 500-ml stainless steel high pressure stirred reactor (Parr Type) with a glass liner insert. The reaction consisted of the following conditions: 50-ml cyclohexane ( $0.45$  mol), 30-ml *o*-dichlorobenzene ( $0.48$  mol), catalyst ( $0.5 \times 10^{-3}$  mol). Each reaction was pressurized with 200 psi of air, heated to 133 °C, and the reaction was allowed to stir for six hours. The reactor was cooled to an air-bath and depressurized. Reaction solutions were then filtered to remove the solid aliquot acid and the soluble products were measured by GC analysis using *tert*-butylphenol as an internal standard.

*Caution:* It is important to note that the combination of molecular oxygen with organic compounds at elevated temperatures is a potential explosion hazard. Extreme caution should be taken in the charging and discharging of the reaction apparatus. The

use of reflux, stands and allowing the reaction to cool prior to distillation or dilute recommended.

### Cyclohexane Oxidation with $O_2$

Oxidations were carried out employing a 250 ml stainless steel high pressure stirred reactor (Jret Type) with a glass heat exchanger. The reaction consisted of the following: 50 ml cyclohexane (0.40 mol), 10 ml *n*-dichlorobenzene (0.04 mol), (or 100 ml of cyclohexane) 0.01 mol, catalyst  $(1.5 \times 10^{-3}$  mol). Each reaction was pressurized with 45 psi of  $O_2$  and heated to 120 °C. The pressure in the reactor decreased to 45 psi upon heating and this pressure was maintained with  $O_2$  from a gas burette. Reaction was allowed to proceed until oxygen uptake had ceased. The reactor was cooled in an ice-bath and depressurized. Reaction solution was then filtered to remove the solid catalyst and the soluble products were analyzed by GC analysis using acetylphenol as dichlorobenzene as an internal standard.

### Results and Discussion

#### Cyclohexane oxidation with Air

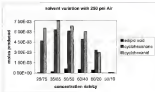
Research performed in our laboratory by Xu<sup>12b</sup> demonstrated that a homogeneous catalyst tri(diphenylamido)phosphine - *N*,*N*-diphenyl-4,4'-phenylenediamine will catalyze the oxidation of cyclohexane with air in oxygen as the oxidant. Initial cyclohexane oxidation experiments were conducted in a 250-ml glass bottle with *n*-dichlorobenzene as a co-solvent. *O*-dichlorobenzene (dich) readily dissolved the metal complex and the cyclohexane ( $C_6H_{12}$ ) resulting in a dark red homogeneous solution. The dark red solution was then subjected to 45 psi of air and heated to 120 °C for 8 hours.



The main products of the reaction are cyclobutanol (A) and cyclobutanone (B) and adipic acid (AA). Adipic acid was deposited on the bottom of the reactor, and the solution contained a mixture of cyclobutanone and cyclobutanol at 1:2 ratio respectively, which results in the total reduced products equalling ~3% conversion of cyclobutane.<sup>17a</sup> It was also observed that the  $\alpha$ -temperature sensitive with a range between 118 °C and 148 °C. A reaction temperature of 128 to 133 °C was used in subsequent reactions to ensure high reactivity without catalyst deactivation.

Initial studies revealed that the catalyst produced during the reaction was very reactive and experiments showed that there was no induction period. Initial studies by Xu demonstrated that oxygen was the limiting reagent in the system and perhaps the full potential of the catalyst was still unexplored. Therefore, we decided to investigate the reaction further on a batch scale with higher pressures of air.

First set of reactions employed was to test the  $\alpha$ -solvent dependency of the reaction system. The  $\alpha$ -solvent dependency was tested by varying the ratio of dcb and cp while keeping the volume of the total solvent constant (Figure 4-6). Solvent composition was varied from 25 to 50% of dcb. The 50% dcb solution produced only trace amounts of B and A, apparently due to significant dilution of the substrate. Solubility of the catalyst is somewhat limited in a solution of 25% dcb. When the dcb concentration is below 25% the catalyst produces a cloudy solution but solution will become clear upon completion of the reaction. The highest production of AA was observed in the 1:1 mixture of dcb and cp. Therefore, the 1:1 ratio was used for the remainder of these  $\alpha$ -solubility experiments.



**Figure 4-6. Catalyst dependence with air as oxidant.**

#### **Cyclohexene oxidation with $O_2$**

Typical batch scale reactions consisted of the following: 80 ml of  $C_6$ , 80 ml  $OsO_4$ , 250 psi of air (250 psi  $O_2$ ) and 3.3 x 10<sup>-3</sup> mol of catalyst. Results obtained from this experiment were consistent with the results obtained from 50% total conversion of cyclohexene. The final pressure of the reactor was 200 psi, indicating that all the oxygen had been consumed during reaction. We sought to explore the full potential of this catalytic system; therefore, we designed a system that would replace the oxygen that the reaction consumes. A gas header was constructed, which consisted of a lecture bottle, pressure regulator, pressure transducer, and a line fitted with a check valve. Keeping the pressure constant in the reactor allowed the consumed oxygen to be replenished. Changing the system from air to pure oxygen significantly increased the amounts of all products produced. Product distributions for the reaction, shown in

Figure 4-7 reveals that the cyclohexanone (Q) and cyclohexanol (R) reach a steady state composition at about 1% total conversion of cyclohexanone with a 1.5:1 ratio respectively.

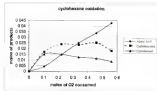


Figure 4-7 Product Distribution catalyzed by  $[Ti]/[ligand]/[Ti]$  in 100 ml 100 ml

Each set of data points in Figure 4-7 represents a run where an initial charge of catalyst (Q) is  $10^{-5}$  mol) was introduced into 100 ml of total solution. The reaction mixture was then heated to 135°C and allowed to proceed until oxygen uptake had ceased. The cooled reaction mixture was filtered to remove the solid adipic acid and the filtrate returned to the reactor with additional cyclohexanone to make up for any loss. This process was repeated five times without any loss of reactivity. Oxygen uptake rates for each of the five runs are depicted in Figure 4-8.

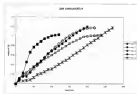
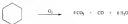


Figure 4-8. Oxygen uptake rates for *Pseudomonas* T6, at 50 ml/dish / 50 ml of  $O_2$

The second run has the highest rate of oxygen consumption with  $1.3 \times 10^{-2}$  and  $Q_{O_2}$  min with most of the oxygen being consumed in the first level. Run 2 was significantly slower than the other runs with a rate of  $4.8 \times 10^{-2}$  and  $Q_{O_2}$  min. The rapid uptake in the first run may be due to the activation of the catalyst precursor. The uptake rate slows at the end of the first run and continues into the second run. Runs 3, 4, and 5 have consistent rates with an average rate of  $6.5 \times 10^{-2}$  and  $Q_{O_2}$  min. A higher concentration of the most easily oxidized R may contribute to the overall rate enhancement of the later runs. Figure 4-8 indicates that in the later runs the uptake of oxygen stops abruptly. This sudden consumption of oxygen flow is probably due to the production of CO and  $CO_2$  gases. The  $CO_2$  and CO gases are produced as a result of the complete combustion of the cyclodextrin. Production of these gases will displace R oxygen in the matrix/adsorbate and not allow the consumed oxygen to be replaced. Analysis of

the oxygen equivalents that we present in the isolated products/porphyrin efficiency) supports the theory of over-oxidation. Oxygen efficiency in the first two runs are 35 and 30% respectively while the last run yield 18-22 % efficiency indicating that more of the product is being over oxidized.



### Catalyst Variation

It is of interest to compare the general reactivity of different catalyst formulations and different catalyst structures. Comparisons of the above data set appear to be complicated by the nature catalyst value. Experiments designed to measure the effects that coordination coordination has on reactivity revealed that there was no reactivity dependence associated with the coordinating ability of the same.<sup>27a</sup>

Table 4-1. Ligand variation of iron catalyst<sup>27</sup>

Catalyst	% conversion	AA / 10 <sup>3</sup> mol	KL / 10 <sup>3</sup> mol	AL / 10 <sup>3</sup> mol	SO <sup>2</sup>	Time (min)
Fe(porphyrin) <sub>2</sub> /Et <sub>2</sub> O	8.9	4.38	17.2	15.8	1843	120
Fe(porphyrin) <sub>2</sub> /THF	9.1	4.6	20.8	15.8	1197	140
Fe(porph) <sub>2</sub> /C <sub>6</sub> H <sub>6</sub> /CD	4.7	6.55	11.2	9.5	408	380
Fe(porph) <sub>2</sub> /C <sub>6</sub> H <sub>6</sub> /CD	5.1	6.66	9.3	14.2	472	320

<sup>27a</sup>Ligand variation experiments were carried out in a 300 ml stirred stainless steel microwave with 3.3 x 10<sup>-3</sup> mol of catalyst in a dichloromethane (30 ml) and cyclohexane (30 ml, 60 mmol). The catalyst solution was then charged with 50 psi of oxygen and the pressure was held constant at 50 psi after heating. Reactions were allowed to proceed until oxygen uptake had ceased. Fe(porph)<sub>2</sub>/C<sub>6</sub>H<sub>6</sub>/CD = Fe(porph)<sub>2</sub>/C<sub>6</sub>H<sub>6</sub>/CD = 5/5/5, 11,20-Tetrakis(porphyrin)-iron(II) chloride. Fe(porph)<sub>2</sub>/C<sub>6</sub>H<sub>6</sub>/CD = 5/5/5, 11,20-Tetrakis(porphyrin)-iron(II) chloride.

The activity of  $[\text{Fe}(\text{dpp})\text{diox}]\text{Cl} \cdot 2\text{O}_2 \cdot \text{H}_2\text{O}$  was also compared to other well known alkene oxidation catalysts and other  $\text{Fe}(\text{II})$ -complexes with different nitrogen-containing ligands (Table 4). A number of nitrogen-containing ligands were tested as  $\text{cat}(\text{Fe})$  complexes under our oxidative conditions (50 psi of  $\text{O}_2$  and  $110^\circ\text{C}$  in a 1:1 mixture of *dcf* and cyclohexane). Interestingly only ligands with a phenyl group substituted at the 4,7, and 4,4' of phenanthroline and 2,2'-bipyridyl respectively showed activity toward oxidation of cyclohexene. Phenyl substituents apparently offer added solubility that increases catalyst solubility and allow for homogeneous oxidation to occur. Iron porphyrin catalysts have been shown to have remarkable activity for the oxidation of hydrocarbons<sup>27-30</sup> and peroxide decomposition catalysts.<sup>31-33</sup> Its integrated iron porphyrin complexes in the oxidative conditions of our system had found them to be somewhat less reactive than our current catalyst system.

### Solvent variation with $\text{O}_2$

We decided to correct the solvent dependency experiments considering that different behavior was observed with an unlimited supply of oxygen. The solvent ratio was varied from 50 % *dcf* to 100 % cyclohexane. Analysis of the data obtained from these experiments (Figure 4-7) revealed that the product distribution was approximately the same for each solvent composition. These results were surprising considering that the catalyst precursor is not soluble in solutions with less than 20% *dcf*. Reactions with 20% *dcf* or less produced a cloudy solution or a suspension of the catalyst. A homogeneous solution was obtained after heating the reaction solution to  $110^\circ\text{C}$  with oxygen pressure. The 50:50 solvent mixture produced the highest conversion of

cyclohexane with 5.3%. The percent conversion of the other solvent mixtures were around 1% with the exception of the 30/70 solution being a conversion of 6.1%.

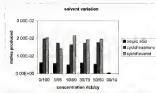


Figure 4-9: Solvent mixtures with constant oxygen system.

#### Real cyclohexanone oxidation

Considering the benefits that a single component system offers over the co-solvent system, subsequent experiments were performed in real cyclohexanone. Despite the usual availability of the starting iron complex in real cyclohexanone there is still no induction period associated with the catalytic system. Initially the iron complex is a red suspension in cyclohexanone, but once the reaction medium was heated to temperature under oxygen pressure the catalytic mixture was transformed into a heterogeneous light yellow solution. Reactivity of this real cyclohexanone solution is different from the 1:1 co-solvent mixture as that the steady state conversion of the cyclohexanone is nearly

observed in the neat-cyclohexane system. The oxygen uptake rates in neat cyclohexane for the first three runs are  $5.0 \times 10^{-3}$ ,  $4.8 \times 10^{-3}$ ,  $6.0 \times 10^{-3}$  mol- $O_2$  / min respectively (Figure 4-15). These rates are in line with the gas solvent system with the exception of the first run, where the catalyst precursor must first undergo a transformation to provide solution resulting in lower initial activity.

Figure 4-15

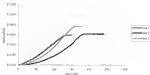


Figure 4-15. Oxygen uptake rates for  $Fe(II)phthalate/Tb_3+$  in 100 ml cyclohexane,  $T=125\text{ }^{\circ}C$ .

The neat cyclohexane system is also more efficient than the gas solvent system where 74% of the oxygen consumed in the first run can be accounted for in the three major products. Production of the over-oxidation products is more apparent in this system because of the sudden drop in the oxygen consumption. This sudden drop in oxygen uptake is presumed to be a result from the higher concentration of the more easily oxidized products, which in turn undergo complete oxidation to  $CO$ ,  $CO_2$ , and  $H_2O$ . Figure 4-16 represents uptake rates for three runs in neat cyclohexane at  $125\text{ }^{\circ}C$ .



Analysis of the product formation reveals that cyclohexanone reaches a steady state concentration  $5.7 \times 10^{-3}$  M (approximately 4% of the total cyclohexanone solution). Cyclohexanol also reaches a steady state concentration of  $2.4 \times 10^{-3}$  M or 2.6% of the total cyclohexanone solution. Analysis of the product formation data reveals that adipic acid is responsible for the production of CO and CO<sub>2</sub>. Figure 4-11 represents the rate of product formation compared to the rate of O<sub>2</sub> uptake for the first three runs. The graphs reveal that the system is most efficient when holding the concentrations of the B<sub>1</sub> and A<sub>1</sub>. Once the intermediates reach their peak concentrations and the adipic acid increases the major new product being formed and the production of the over oxidation products CO and CO<sub>2</sub> increases. This trend is clear from the analysis of the data in Figure 4-12 where at the start of the reaction the only gases that are present is O<sub>2</sub> and N<sub>2</sub> and as the reaction progresses the O<sub>2</sub> level decreases and CO and CO<sub>2</sub> replace the volume once occupied by the O<sub>2</sub>.

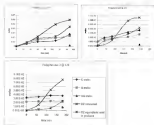
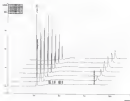
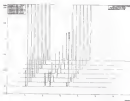


Figure 4-46: Product formation data from Cyclohexanone solution with Pivalophenyl Tril and Oxygen at 125 °C.



**Figure 4-12.** Head gas composition from the reaction of cyclohexane solution with Poly(phenyl-Tri) and Oxygen at 131 °C



**Figure 4-13.** GC analysis of the mixture with cyclohexanone solution with  $\text{P}(\text{t}(\text{diphenyl})\text{ATP})_3$  and Oxygen at  $110^\circ\text{C}$

### Conclusion

An alternative catalyst system has been developed for the selective oxidation of cyclohexane to cyclohexanol and cyclohexanone. The catalyst used is an iron(II) phenyl substituted phenanthroline complex that will catalyze the oxidation of cyclohexane under mild conditions. The presence of phenyl substituents on the ligands of the catalyst increases its solubility in hydrocarbon solvents and allows for the reaction to proceed smoothly at mild temperatures. Comparing the reactivity of the  $\text{P}(\text{t}(\text{diphenyl})\text{ATP})_3$  in the heterogeneous system developed by Thomas<sup>100</sup> our system is a significantly more reactive, where 6% of the cyclohexane is oxidized in 1-3 hours versus 3-4% of the

cyclohexane is oxidized at 15–20 hours by Thomas's system. Our system is also much simpler than the Tomita<sup>10</sup> system because there is no additional oxidant used to facilitate the oxidation and the catalyst charge is 10-times more than our system. Tomita's system converts cyclohexane up to 80% but requires acetic acid as a solvent. Although our system only converts about 8% of the cyclohexane, it is a much simpler and cleaner process.

## Chapter 5

### HYDROCARBON OXIDATIONS WITH A GFI-TYPE CATALYST

#### Introduction

Selective oxidation of hydrocarbon substrates is of considerable importance and has been focus of intense research for many years.<sup>17-19</sup> Cyclohexane oxidation has been of particular interest in our laboratory in recent years. As discussed in chapter 4 the employment of *trans*(3) phenanthroline catalysts will selectively oxidize cyclohexane to cyclohexanol and cyclohexanone. Conversion of the reaction is limited to about 1% due to increased concentration of the more reactive products that run more easily through complete oxidation to produce CO<sub>2</sub> and H<sub>2</sub>O. Ligands employed for this catalytic system are phenyl-substituted phenanthroline type ligands, which have a significant cost associated with them. The phenyl substitution on the phenanthroline is required for the resultant catalyst to be soluble in our system. The significant cost of the ligand prompted us to investigate other ligands that offered the same solubility as the phenanthroline-based catalysts, but not have the cost associated with them. Our search led us to the GFI-type systems developed by Barton and co-workers.<sup>18, 19</sup>

Barton's systems are composed of an Ir<sup>III</sup> complex, a pyrazole (or isopyrazole or hydrogen pyrazole) in a pyridine-methoxylic acid solution (10:5 v/v).<sup>18</sup> This reaction mixture selectively affords nearly quantitative yields of ketones

with conversions up to 30-35% at room temperature or below. Cef-type chemistry involves the formation of an  $\text{Fe}^{II}$ -QOH species that liberates  $\text{H}_2\text{O}$  and produces an  $\text{Fe}^{II}$ -O species (Figure 3-1).<sup>188</sup>



The reaction sequence is said to involve an  $\text{Fe}^{II}$ - $\text{Fe}^{II}$  manifold.<sup>188</sup> The reactivity of the 2-4 manifold does not involve carbon centered radicals.<sup>188</sup> On the contrary, there is a second manifold based on  $\text{Fe}^{II}$ - $\text{Fe}^{II}$  chemistry that involves carbon radicals.<sup>188</sup> Both systems selectively oxidize saturated hydrocarbons at the secondary position.<sup>188</sup> The  $\text{Fe}^{II}$  carboxylate catalysts utilized in Cef chemistry may be an alternative efficient catalyst composition to be employed in our catalytic system.

Conditions of the Cef system are extremely different from the conditions employed in our system. A high concentration of pyridine and acetic acid (or other acid) is necessary for Cef chemistry to take place. Here we report our results on cyclohexane oxidation using the new type catalysts and show the system will oxidize cyclohexane without the use of added peroxide.

## Experimental

**Materials:** Trimethylamine, and,  $\text{FeCl}_3 \cdot 4\text{H}_2\text{O}$ , and  $\text{FeCl}_3$  was purchased from acros and used as received. All solvents was purchased from Aldrich and distilled prior to use.  $\text{Fe}_2\text{O}_3(\text{ox})_2\text{L}_2$  was prepared according to a published procedure.<sup>189</sup>

**Oxidation methods:** Oxidation reactions were carried out in a 300-ml stainless steel autoclave. The autoclave was equipped with the following: thermocouple, magnetic

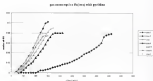
drive started, gas-intensified stirred stainless steel recycling tube, glass reactor (the glass reactor was capped with a Teflon, which is maintained contact with the stainless steel) surface. A small amount of cyclohexane was added to the outside of the liner to ensure good thermal contact. The catalyst ( $3.5 \times 10^{-3}$  mol) was dissolved in a total of 100 ml of cyclohexane. 40 psi of oxygen was added to the stirred solution before heating. Solution was then heated to the specified temperature and the final pressure was maintained with a gas balloon filled with oxygen. Oxygen consumption was monitored with a pressure transducer and a digital data recorder. Reaction solution was sampled at various times. After the specified reaction time, the reactor was then cooled to room temperature, gases were slowly released into the atmosphere and reaction apparatus was disconnected. Reaction solution was then filtered to remove the solid product and the liquid products were measured via gas chromatography with *n*-dichloromethane as an internal standard.

### Results and Discussion

The desire to find cheaper ligands that will perform the oxidation of cyclohexane has led us to employ the trimethylacetate ligand (TMA). Reasons for choosing the TMA ligand is due to the large bulky aliphatic groups of the trimethylacetate make the iron complex very soluble in hydrocarbon solvents. The  $\text{Fe}(\text{TMA})_3$  complex has the general formula of  $\text{Fe}_2\text{O}(\text{Fe}(\text{C}_2\text{H}_5)_3)_4$ , where  $\text{Fe} = \text{C}_2\text{H}_5\text{COCH}_3$  and  $\text{L} = \text{pyridine, Naph, H}_2\text{O}$ . The  $\text{Fe}(\text{TMA})_3$ -complex employed in  $\text{Ga}^3+$  chemistry required a high concentration of pyridine, peroxide, and relatively low temperature (28 °C). The conditions of our system, removed the solid catalyst (no added peroxide), decreased the catalyst concentration to  $3.5 \times 10^{-3}$  mol and increased reaction temperature to 130-145 °C.



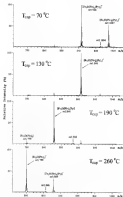
The stability of the catalyst was almost equal when the capping ligands (L<sub>1</sub>) were H<sub>2</sub>O or H<sub>2</sub>O<sub>2</sub>. Similar to Os<sup>II</sup> systems, we found that pyridine is a necessary ligand (L<sub>2</sub>) for the complex to be active. When L<sub>2</sub> is pyridine the catalyst showed significant reactivity. The formation of the pyridine adduct can be performed *in situ* or prior to reaction.



**Figure 4-3** Oxygen uptake experiments for Os(II) catalyst systems. Reaction conditions: 100 mL of cyclohexane (0.92 mol),  $5.5 \times 10^{-3}$  mol of Os(II),  $5.5 \times 10^{-3}$  mol of pyridine, temperature = 125 °C, 40 psi of oxygen at room temperature. Heating of the reaction solution increased the internal pressure to 50 psi. The pressure in the reactor was maintained with a gas/bubble bed for oxygen consumption was monitored.

Figure 4-3 depicts a significant induction period (0-90 min) associated with the catalyst mixture. The induction period may be linked to loss of one of the pyridine ligands that caps each one of the tri-oxo complex. Mass spec studies confirm that the tri-oxo complex will lose the capping ligands as the reaction temperature increases (Figure 4-3). The temperature of the mass spec capillary does not necessarily correspond

to the temperature of the reaction solution. It appears that once the complex forms one of its isomers, regardless the initial species, becomes inactive.



**Figure 4.5** Mass spec of  $Fe(X)Fe(allyl)_2$  at different capillary temperatures. An electrolyte was added to the solution to prevent the complex to an overall +1 charge.

Considering that the induction period may be associated with the loss of a pyrolytic capping layer, it stands to reason that increasing the reaction temperature may shorten the induction period. The reaction temperature was increased to 145 °C and the induction period was reduced to less than 10 minutes (Figure 3-4). The oxygen uptake rate for the first run is  $4.8 \times 10^{-4}$  mol of  $O_2$  min<sup>-1</sup>. This rate is comparable to the mass[O] phenanthroline catalyst ( $5.0 \times 10^{-4}$ ) at the corresponding temperature.

Figure 3-4: Induction period at 145 °C

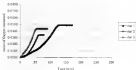


Figure 3-4: Oxygen uptake rates for Pd(dppf)(Cl)<sub>2</sub> in neat cyclohexanone and 145

°C

Time-dependent studies of the formation of oxygenated products are illustrated in Figure 3-5. The moles-of-oxygen consumed is the amount of oxygen that the reaction actually consumed from the furnace, it does not take into account the moles-of-oxygen added to the reactor initially. Major products of the reaction are cyclohexanones (K).

cyclohexanol (A), and adipic acid. The total product curve represents the number of oxygen equivalents accounted for by the reaction products. Analysis of the data in Figure 3-5 reveals that the catalyst mixture is efficient in converting the cyclohexanol into the major products E and A. The system with  $\text{Fe}(\text{pm})_2\text{py}_2$  is similar to the  $\text{Fe}(\text{Dypphen})_2$  system where the later area of the reaction produces most of the higher oxidation products  $\text{CO}_2$  and water. Figure 3-6 depicts the products and the oxygen consumed against one another and reveals that most of the oxygen consumed in the reaction cannot be accounted for in the main oxidation products.

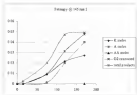


Figure 3-6. Product distribution for  $\text{Fe}(\text{pm})_2\text{py}_2$  in neat cyclohexanol at  $140\text{ }^\circ\text{C}$ .

0.000

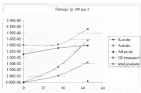


Figure S-4. Product distribution for Polynad(pyr) in neat cyclohexane at 140 °C, several run

Comparison to the  $\text{Cp}^*\text{Ir}$  system our catalyst reactivity is considerably different, from those obtained by Barter and co-workers. Barter's catalytic system produced an almost quantitative yield of  $\text{K}^{1,2,10}$ . Figure S-5 reveals that the (K) is the dominating product initially. But (A) soon takes over and is the dominant species as catalyst activation is complete.

Generally, there are two types of mechanisms that one must consider for the activation of hydrocarbons. The first type suggests that the C-H bond cleavage is a result of the interference of the substrate with the metal center. Second type of mechanism suggests that the C-H bond cleavage is caused by a metal-free species ( $\text{HO}^\bullet$ ,  $\text{RO}^\bullet$ , etc.) and the role of the catalyst is in the generation of the reactive species. The rate of

products is R<sub>2</sub>A in 1:1-4 and the mechanism can probably be best described as a peroxide-decomposition catalyst (Figure 3-7).



Figure 3-7 Catalytic peroxide decomposition by an iron(II), iron(II) catalyst

One important aspect of this redox chemistry is that the catalyst needs to be able to shuttle back and forth between redox states. This can be seen in the above diagram where the role of the catalyst is in the decomposition of the allyl peroxide. The above mechanism would suggest that if the catalyst can shuttle between the +1 and +3 oxidation state the product ratio should be about the same. This mechanism and the product distributions obtained from the reaction supports that the catalyst functions in that manner.

### Conclusion

The quest for finding a cheaper catalyst was achieved with the Fe(ma)(py) complex. There are many similarities between the iron(II) phenanthroline and the Fe(ma)(py) complex when the temperature is raised to 145 °C. The main difference in the two catalytic systems is that the Fe(ma)(py) complex is somewhat slower and less reactive.

# APPENDIX A TABLE OF CRYSTALLOGRAPHIC DATA

This appendix contains the tables of crystallographic data for the structure determination of compound 3-4.

**Table 1** Crystal data and structure refinement for 3-4

Identification code	3-408
Empirical formula	C <sub>11</sub> H <sub>10</sub> N <sub>2</sub> O <sub>2</sub> · 0.11 C <sub>12</sub> H <sub>18</sub> N <sub>2</sub> O <sub>2</sub> · 0.11 C <sub>12</sub> H <sub>18</sub> N <sub>2</sub> O <sub>2</sub>
Formula weight	226.29
Temperature	174(2) K
Wavelength	0.71073 Å
Crystal system	Monoclinic
Space group	P2 <sub>1</sub> /c
Unit cell dimensions	a = 8.754(4) Å $\angle$ = 90° b = 24.50(2) Å $\angle$ = 90.14(2)° c = 16.318(7) Å $\angle$ = 90°
Volume	3520.4(3) Å <sup>3</sup>
Z	4
Density (calculated)	1.128 Mg/m <sup>3</sup>
Absorption coefficient	0.413 mm <sup>-1</sup>
F(000)	1444
Crystal size	0.26 × 0.12 × 0.08 mm <sup>3</sup>
Data range for data collection	1.76 to 27.50°
Index ranges	-12 ≤ h ≤ 12, -44 ≤ k ≤ 43, -13 ≤ l ≤ 13
Reflections collected	10896
Independent reflections	11767 [R <sub>int</sub> ] = 0.0421
Completeness to θ = 27.50°	99.8 %
Absorption correction	Integration
Max and min transmission	0.9434 and 0.9045
Refinement method	Full-matrix least-squares on F <sup>2</sup>
Data / restraints / parameters	11767 / 0 / 127
Goodness-of-fit on F <sup>2</sup>	1.082
Final R values [I>2σ(I)]	R <sub>1</sub> = 0.0339, wR <sub>2</sub> = 0.1166 [0.0412]
R values (all data)	R <sub>1</sub> = 0.1114, wR <sub>2</sub> = 0.1428
Extinction coefficient	0.00040(7)

Largest diff. peak molecule

0.104 and -0.762  $\times 10^{-3}$ 

$$B_1 = (C(F_{10}) - (F_{10})^2) / (C(F_{10})$$

$$-WB_1 = [(C(W(F_{10})^2 - (F_{10})^2)^2) / (C(W(F_{10})^2)]^{1/2}$$

$$B = [(C(W(F_{10})^2 - (F_{10})^2)^2) / (B-W)]^{1/2} W = B[(C(F_{10})^2) / (B-W(F_{10})^2) + 0.1]^{1/2} F_{10} B = [(WB_1(F_{10})^2) / (B - 2F_{10}^2) B]$$

**Table 1** Atomic coordinates ( $\times 10^3$ ) and equivalent isotropic displacement parameters ( $\text{\AA}^2 \times 10^3$ )

(for 100% 1,10-diphenyl-*o*-carborane) of the basis of the orthogonalized  $U^0$  tensor

	x	y	z	U(eq)
B1	1518(4)	4329(3)	13804(3)	28(1)
C11	3094(3)	3713(3)	13813(3)	40(1)
C12	3383(3)	3830(3)	13381(3)	50(1)
B2	4388(4)	3818(3)	8981(3)	28(1)
B3	4755(3)	3878(3)	12113(3)	23(1)
C13	3553(4)	3433(3)	12685(2)	38(1)
C14	3475(4)	4293(3)	12763(3)	34(1)
C1	3753(3)	4648(3)	13864(3)	40(1)
C2	3813(3)	4793(3)	13369(3)	44(1)
C3	3868(3)	4843(3)	12878(3)	34(1)
C4	409(3)	4713(3)	11495(3)	37(1)
C5	1443(3)	4693(3)	14157(3)	41(1)
C6	2793(3)	3838(3)	13869(3)	38(1)
C7	1793(3)	3408(3)	13883(3)	37(1)
C15	3118(4)	3198(3)	12229(3)	37(1)
C8	436(4)	3793(3)	11703(3)	31(1)
C16	-953(4)	3524(3)	11559(3)	33(1)
C9	128(4)	4148(3)	11466(3)	31(1)
C17	-479(4)	4387(3)	11861(3)	43(1)
C18	1869(4)	4238(3)	11686(3)	37(1)
C19	330(4)	4598(3)	11281(3)	33(1)



C17	3146(4)	3076(1)	3119(2)	28(7)
C18	3036(4)	4166(1)	3071(2)	27(6)
F1	3079(2)	4536(1)	3110(2)	35(3)
C19	3085(4)	4166(1)	3066(2)	26(3)
F2	4254(2)	4405(1)	3626(1)	31(3)
C20	3096(4)	3026(1)	3477(2)	24(3)
C21	3246(4)	3215(1)	3076(2)	27(3)
F3	3034(2)	3166(1)	3136(1)	35(3)
C22	4544(4)	3345(1)	33634(2)	27(1)
F4	4425(2)	3226(1)	33896(1)	42(3)
C23	3116(4)	3076(1)	3027(2)	25(3)
C24	3642(4)	3344(1)	3276(2)	25(3)
F5	3666(2)	3236(1)	3427(1)	37(1)
C25	10627(4)	3605(1)	3576(2)	36(1)
F6	10717(2)	3204(1)	3690(2)	45(1)
C26	10713(4)	3633(1)	3442(2)	32(1)
F7	12127(2)	3666(1)	3546(2)	40(1)
C27	10034(4)	4215(1)	3619(2)	32(1)
F8	10643(2)	4266(1)	3677(2)	47(1)
C28	3276(4)	4206(1)	3727(2)	28(1)
F9	7664(2)	4515(1)	3718(1)	36(1)
C29	3623(4)	7426(1)	3646(2)	29(1)
C30	4266(4)	3096(1)	7607(2)	33(1)
F10	3266(2)	3536(1)	3346(1)	41(1)
C31	3426(2)	3896(1)	7423(2)	46(1)
F11	3276(2)	2963(1)	7536(2)	43(1)
C32	4366(4)	2796(1)	7647(2)	56(1)
F12	3163(2)	3466(1)	6034(2)	77(1)
C33	3466(2)	2866(1)	7146(2)	67(1)
F13	4716(3)	2866(1)	6766(2)	75(1)
C34	6466(4)	3266(1)	7827(2)	37(1)
F14	7766(3)	3266(1)	7677(2)	47(1)
C35	3266(4)	4166(1)	7606(2)	37(1)
C36	3366(4)	4226(1)	7367(2)	36(1)
F15	6666(1)	4021(1)	7621(1)	36(1)
C37	4666(4)	4662(1)	6666(2)	32(1)

PI6	3267(3)	4407(3)	3888(3)	46(2)
CI8	3732(4)	4664(3)	4776(2)	38(2)
PI3	3605(3)	4698(3)	6146(2)	33(2)
CI9	3344(4)	4629(3)	7348(2)	36(2)
PI8	3246(3)	4621(3)	7594(2)	33(2)
CI0	4113(4)	4275(3)	8649(2)	36(2)
PI5	3654(3)	4346(3)	8776(3)	43(2)
Li	-133(5)	3449(2)	3466(4)	40(2)
CI1	367(4)	3541(3)	3466(2)	43(2)
CI4	648(3)	3302(2)	3493(4)	45(2)
CI2	3041(4)	3367(2)	3337(4)	100(4)
CI3	3943(5)	3425(2)	3438(2)	103(2)
CI44	-641(5)	3649(2)	3494(2)	45(2)
CI5	-192(3)	3794(2)	3187(2)	50(2)
CI6	-212(4)	3673(2)	3460(4)	86(2)
CI7	313(2)	3827(1)	3133(2)	38(2)
CI7	640(4)	3857(2)	34194(2)	43(2)
CI8	378(3)	3855(2)	3508(2)	41(2)
CI9	162(2)	3758(2)	3637(2)	44(2)
CI9	-389(4)	4045(1)	35487(2)	38(2)
CI1	-266(4)	3767(2)	35073(2)	36(2)
CI2	-1774(2)	4408(1)	35944(2)	33(2)

**Table 3.** Bond lengths ( $\text{\AA}$ ) and angles ( $^\circ$ ) for 2-6

Zn-C11	2.468(1)
Zn-C8	2.473(2)
Zn-C3	2.483(4)
Zn-C2	2.493(4)
Zn-C12	2.5013(10)
Zn-C4	2.514(4)
Zn-C5	2.517(5)
Zn-C9	2.523(4)
Zn-C10	2.523(4)
Zn-C7	2.523(4)
Zn-C5	2.528(4)
Zn-C6	2.533(4)
C10-N1	2.413(3)
N-C28	1.433(3)
N-C29	1.444(3)
N-C26	1.448(3)
N-C25	1.457(3)
N-C18	1.561(3)
N-C15	1.561(3)
N-C6	1.575(4)
N-C17	1.590(4)
C1-C2	1.376(3)
C1-C5	1.403(3)
C2-C3	1.413(3)
C3-C4	1.403(3)
C4-C5	1.394(3)
C6-C7a	1.433(3)
C6-C7	1.443(3)
C7-C8	1.433(3)
C7-C11	1.503(3)
C8-C9	1.434(3)
C8-C12	1.503(3)
C9-C10	1.484(3)
C9-C13	1.504(3)

C16-C18	1.495(3)
C17-C20	1.383(3)
C17-C18	1.381(3)
C18-F1	1.353(3)
C18-C19	1.388(3)
C19-F2	1.355(3)
C19-C20	1.382(3)
C20-C21	1.386(3)
C21-F3	1.353(3)
C21-C22	1.379(3)
C22-F4	1.353(3)
C23-C28	1.378(3)
C23-C24	1.406(3)
C24-F5	1.355(3)
C24-C25	1.374(3)
C25-F6	1.351(3)
C25-C26	1.389(3)
C26-F7	1.349(3)
C26-C27	1.373(3)
C27-F8	1.343(3)
C27-C28	1.358(3)
C28-F9	1.351(3)
C29-C34	1.354(3)
C29-C30	1.403(3)
C30-F10	1.349(3)
C30-C31	1.375(3)
C31-F11	1.346(3)
C31-C32	1.363(3)
C32-C33	1.348(3)
C33-F12	1.339(3)
C33-F13	1.341(3)
C33-C34	1.354(3)
C34-F14	1.343(3)
C34-C35	1.373(3)
C35-C36	1.351(3)
C36-F15	1.336(3)

C6-C7	1.361(3)
C71-F16	1.348(4)
C77-C18	1.371(4)
C8-F17	1.368(4)
C14-C18	1.374(3)
C19-F18	1.340(4)
C19-C48	1.384(3)
C46-F18	1.348(4)
L+O1	1.862(3)
L+O2	1.921(3)
O1-C14	1.403(3)
O1-C18	1.393(3)
C41-C43	1.473(3)
C41-C42	1.348(3)
C44-C18	1.478(3)
C44-C46	1.391(3)
O3-C47	1.447(3)
O3-C19	1.481(3)
C45-C18	1.389(4)
C47-C18	1.312(3)
C18-C11	1.409(3)
C18-C12	1.409(4)

C11-O1-C6	86.49(12)
C11-O1-C7	101.06(12)
C6-O1-C7	96.75(14)
C11-O1-C3	133.44(11)
C6-O1-C3	118.71(12)
C3-O1-C7	121.94(14)
C11-O1-C12	92.33(4)
C6-O1-C12	127.90(10)
C7-O1-C12	122.31(10)
C3-O1-C12	107.33(10)
C11-O1-C4	118.61(10)
C6-O1-C4	142.12(14)
C7-O1-C4	82.75(14)

C3-2a-C4	32.56(15)
C3-2a-C4	79.48(15)
C3-2a-C1	79.48(12)
C4-2a-C1	114.76(14)
C3-2a-C3	33.56(15)
C2-2a-C1	34.66(15)
C3a-2a-C1	113.93(11)
C4-2a-C3	23.48(13)
C3b-2a-C3	121.32(12)
C3-2a-C2	33.44(12)
C2-2a-C2	66.43(12)
C2-2a-C2	62.63(14)
C3b-2a-C2	76.43(5)
C4-2a-C2	132.82(15)
C1-2a-C2	138.13(12)
C4-2a-C1a	118.25(5)
C3-2a-C1a	75.71(12)
C1-2a-C1a	75.54(14)
C1-2a-C1a	65.56(12)
C3b-2a-C1a	125.11(5)
C4-2a-C1a	111.84(12)
C1-2a-C1a	109.17(14)
C3a-2a-C1a	33.87(12)
C1-2a-C2	33.17(5)
C4-2a-C2	33.32(12)
C2-2a-C2	129.77(12)
C1-2a-C2	133.48(13)
C3-2a-C2	64.36(5)
C4-2a-C2	123.76(14)
C1-2a-C2	145.33(14)
C3a-2a-C2	34.26(13)
C3b-2a-C2	34.34(12)
C3a-2a-C2	87.98(11)
C3b-2a-C2	147.26(14)
C3-2a-C3	23.81(14)
C2-2a-C3	52.43(14)

C2-B-C1	14.86(1)
C4-B-C1	37.13(1)
C1-B-C1	32.86(1)
C4-B-C1	104.12(1)
C2B-B-C1	131.79(1)
C1-B-C1	171.46(1)
C1-B-C1	111.79(1)
C4-B-C1	34.33(1)
C2-B-C1	130.52(1)
C1-B-C1	173.46(1)
C1-B-C1	77.93(1)
C4-B-C1	104.12(1)
C1-B-C1	162.99(1)
C1-B-C1	33.94(1)
C1B-B-C1	94.05(1)
C1-B-C1	33.22(1)
C4-B-C1	182.94(1)
B-C1-B	128.9(2)
C2B-B-C2	113.9(2)
C2B-B-C2	113.7(2)
C1B-B-C2	182.3(2)
C2B-B-C2	181.6(2)
C2B-B-C2	114.3(2)
C2B-B-C2	113.7(2)
C1B-B-C1	186.3(2)
C1B-B-C1	112.06(19)
C1B-B-C1	114.97(19)
C1B-B-C1	118.48(19)
C1B-B-C1	186.69(19)
C4-B-C1	194.95(16)
C1-C1-C1	188.2(4)
C1-C1-B	72.9(2)
C1-C1-B	79.2(3)
C1-C1-C1	188.2(4)
C1-C1-B	75.4(3)
C1-C1-B	74.8(2)

C4-C3-C2	107.9(4)
C4-C3-Br	71.7(2)
C3-C3-Br	71.8(2)
C3-C4-C2	108.9(4)
C3-C4-Br	71.9(2)
C3-C4-C1	107.9(4)
C4-C3-Br	71.4(2)
C1-C3-Br	71.4(2)
C18-C6-C7	106.7(2)
C18-C6-Br	121.9(1)
C7-C6-Br	120.4(1)
C18-C4-Br	71.3(1)
C7-C4-Br	71.29(14)
Br-C4-Br	121.12(14)
C8-C7-C6	106.7(2)
C8-C7-C11	124.0(4)
C6-C7-C11	127.1(2)
C8-C7-Br	71.1(2)
C6-C7-Br	71.3(2)
C11-C7-Br	127.4(2)
C7-C8-C9	106.4(2)
C7-C8-C12	126.4(4)
C9-C8-C12	124.4(4)
C7-C8-Br	72.1(2)
C9-C8-Br	71.4(2)
C12-C8-Br	126.3(2)
C8-C9-C10	127.7(2)
C8-C9-C13	125.3(4)
C10-C9-C13	126.4(4)
C8-C9-Br	71.0(2)
C10-C9-Br	71.9(2)
C13-C9-Br	125.9(2)
C6-C10-C9	106.3(2)
C6-C10-C14	127.3(2)
C9-C10-C14	125.8(4)



C6-C18-B	11-40)
C8-C18-B	13-40)
CH-C18-B	103-30)
C13-C13-C18	113-30)
C13-C17-B	109-17)
C18-C13-B	104-40)
F1-C14-C18	117-70)
F1-C18-C13	109-10)
C14-C18-C17	103-20)
F5-C19-C18	116-30)
F1-C19-C18	118-30)
C18-C18-C18	104-40)
C11-C18-C13	112-40)
C11-C18-B	107-40)
C14-C18-B	114-40)
F1-C11-C18	115-30)
F5-C11-C18	101-30)
C13-C18-C18	103-30)
F4-C13-C18	117-40)
F4-C13-C13	118-30)
C11-C18-C17	103-70)
C14-C13-C18	112-30)
C14-C13-B	105-30)
C14-C13-B	118-40)
F5-C14-C18	116-40)
F4-C14-C13	114-40)
C13-C18-C13	103-30)
F4-C13-C18	109-10)
F5-C13-C18	100-40)
C18-C18-C18	114-30)
F7-C18-C18	119-40)
F7-C18-C17	100-40)
C18-C18-C17	114-40)
F1-C17-C18	100-40)
F1-C17-C18	100-40)
C18-C18-C18	117-10)



F18-C40-C39	111.903
F18-C40-C38	113.753
C39-C40-C38	128.464
C1-L-C3	133.884
C4-L-C3	112.931
C3-L-C2	112.945
C44-C3-C30	113.463
C44-C3-L	129.744
C41-C3-L	114.744
C43-C3-C3	112.960
C43-C3-C42	118.471
C1-C3-C32	108.963
C1-C4-C35	119.763
C1-C4-C46	119.263
C43-C4-C38	111.233
C41-C3-C38	115.133
C41-C3-L	122.944
C30-C3-L	124.203
C3-C35-C38	111.463
C3-C43-C49	106.933
C44-C41-C48	112.844
C3-C36-C30	106.743
C3-C38-C32	119.933
C31-C36-C42	112.464

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Synonym transformations used to generate equivalent terms

**Table 4** Anisotropic displacement parameters ( $\text{\AA}^2 \times 10^3$ ) for 2a. The nonhydrogen displacement factor expression when the atom is  $-20^\circ 2' [x^2 + y^2 + z^2]^{1/2} + \dots + 2 \text{ h.k.l. } x^h y^k z^l$

	$U^{11}$	$U^{22}$	$U^{33}$	$U^{12}$	$U^{13}$	$U^{23}$
2a	34(5)	33(5)	28(5)	9(5)	7(5)	9(5)
C11	49(5)	33(5)	28(5)	9(5)	9(5)	14(5)
C12	73(5)	44(5)	33(5)	9(5)	15(5)	-4(5)
H	23(7)	26(7)	26(7)	2(3)	9(3)	1(3)
Br	23(5)	36(7)	26(5)	9(5)	7(5)	3(5)
C13	36(5)	53(7)	73(7)	3(3)	9(5)	7(5)
C14	36(5)	53(7)	73(7)	-4(5)	9(5)	-3(5)
C1	45(5)	44(5)	43(5)	-13(5)	-4(5)	-3(5)
C2	54(5)	73(5)	49(5)	-13(5)	22(5)	-13(5)
C3	47(5)	30(5)	38(5)	1(3)	13(5)	9(5)
C4	49(5)	34(5)	38(5)	9(5)	13(5)	9(5)
C5	54(5)	73(5)	39(5)	-4(5)	13(5)	2(5)
C6	36(5)	38(5)	21(5)	1(5)	13(5)	-1(5)
C7	73(5)	36(5)	25(5)	-4(5)	13(5)	-4(5)
C11	36(5)	73(5)	43(5)	9(5)	14(5)	-3(5)
C8	34(5)	47(5)	23(5)	-9(5)	13(5)	9(5)
C12	53(5)	36(5)	34(5)	9(5)	11(5)	-13(5)
C9	36(5)	49(5)	23(5)	-3(5)	3(5)	2(5)
C17	73(5)	73(5)	34(5)	1(5)	3(5)	-4(5)
C18	24(5)	34(5)	23(5)	-1(5)	7(5)	9(5)
C14	36(5)	73(5)	39(5)	-4(5)	9(5)	2(5)
C17	73(5)	30(5)	36(5)	7(5)	7(5)	-4(5)
C18	23(5)	13(5)	23(5)	-4(5)	7(5)	2(5)
F1	39(5)	33(5)	36(5)	9(5)	17(5)	-3(5)
C19	29(5)	26(5)	24(5)	7(5)	7(5)	9(5)
F2	36(5)	29(5)	23(5)	3(5)	13(5)	-3(5)
C20	23(5)	26(5)	23(5)	3(5)	9(5)	-4(5)
C21	23(5)	26(5)	24(5)	3(5)	9(5)	1(5)
F3	53(5)	28(5)	23(5)	-3(5)	13(5)	-3(5)

C23	36(2)	36(2)	36(2)	6(2)	18(2)	-1(2)
P6	36(2)	36(2)	36(2)	3(1)	21(1)	-18(1)
C23	37(2)	37(2)	36(2)	-1(2)	6(2)	4(2)
C24	36(2)	36(2)	36(2)	1(2)	12(2)	6(2)
P5	36(2)	34(2)	42(2)	18(1)	7(1)	3(1)
C29	36(2)	34(2)	36(2)	4(2)	4(2)	9(2)
N6	36(2)	46(2)	36(2)	18(1)	6(1)	-14(1)
C16	36(2)	46(2)	36(2)	-8(2)	7(2)	6(2)
P7	36(2)	41(2)	36(2)	6(1)	6(1)	1(1)
C17	36(2)	36(2)	36(2)	3(2)	16(2)	-9(2)
P8	34(2)	47(2)	42(2)	12(1)	12(1)	-18(1)
C18	36(2)	36(2)	36(2)	6(2)	16(2)	4(2)
P9	36(2)	36(2)	42(2)	14(1)	6(1)	6(1)
C19	36(2)	34(2)	32(2)	6(2)	6(2)	3(2)
C20	44(2)	36(2)	27(2)	7(2)	9(2)	3(2)
P18	36(2)	46(2)	46(2)	-1(1)	6(1)	-1(1)
C11	46(2)	41(2)	41(2)	3(2)	4(2)	-12(2)
P16	36(2)	46(2)	66(2)	-2(1)	5(1)	28(2)
C12	46(2)	32(2)	46(2)	-9(2)	6(2)	-14(2)
P12	66(2)	36(2)	77(2)	28(2)	13(2)	-47(2)
C13	64(2)	37(2)	47(2)	-14(2)	16(2)	2(1)
P13	66(2)	42(2)	88(2)	-27(2)	36(2)	3(2)
C14	36(2)	36(2)	36(2)	3(2)	13(2)	-3(2)
P14	46(2)	46(2)	34(2)	-14(1)	23(1)	6(1)
C15	36(2)	36(2)	36(2)	-1(2)	7(2)	-6(2)
C16	36(2)	36(2)	36(2)	3(2)	7(2)	3(2)
P15	36(1)	46(2)	36(2)	3(1)	16(1)	18(1)
C17	36(2)	37(2)	36(2)	6(2)	4(2)	-8(2)
P16	46(2)	37(2)	36(2)	18(1)	18(1)	6(1)
C18	36(2)	36(2)	36(2)	6(2)	-4(2)	3(2)
P17	37(2)	36(2)	42(2)	18(1)	-2(1)	16(1)
C19	36(2)	36(2)	36(2)	3(2)	3(2)	6(2)
P18	46(2)	61(2)	36(2)	18(1)	13(1)	26(1)
C40	36(2)	36(2)	37(2)	6(2)	6(2)	3(2)
P19	36(2)	36(2)	37(2)	16(2)	17(2)	17(2)
L	46(2)	36(2)	36(2)	7(2)	18(2)	-4(1)

C1	88(2)	48(2)	58(2)	9(2)	32(2)	13(2)
C41	174(8)	28(8)	78(5)	15(4)	67(5)	-4(4)
C42	227(18)	89(8)	88(8)	-48(5)	84(8)	-73(8)
C43	89(3)	88(3)	175(9)	28(2)	278(3)	12(3)
C44	76(4)	51(4)	66(4)	7(3)	9(3)	-16(3)
C48	108(9)	112(9)	85(4)	3(4)	34(8)	-21(4)
C46	78(2)	28(4)	121(8)	8(4)	5(5)	-8(3)
C2	34(2)	43(2)	27(2)	1(2)	5(2)	5(1)
C47	38(2)	80(3)	26(2)	3(2)	1(2)	-1(2)
C48	43(2)	113(2)	33(2)	3(2)	5(2)	18(1)
C49	41(2)	58(2)	43(2)	3(2)	12(1)	1(2)
C50	38(2)	44(2)	23(2)	3(2)	18(1)	3(2)
C51	41(2)	66(4)	71(4)	5(3)	1(3)	1(1)
C52	56(2)	50(2)	55(2)	5(2)	24(1)	15(1)

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**Table 5** Hydrogen coordinates ( $\times 10^3$ ) and isotropic displacement parameters ( $\text{\AA}^2 \times 10^3$ )

for 3-6

	x	y	z	U <sub>eq</sub>
H15A	6333	3458	13766	37
H15B	5137	3392	13753	37
H15C	5596	3417	13529	37
H16A	3448	4285	15908	36
H16B	3279	4856	15894	36
H16C	4653	4877	15854	36
H1A	3647	4617	14509	35
H2A	2368	4897	15889	32
H3A	378	4642	15171	45
H4A	609	4759	15403	44
H5A	1258	4816	14793	49
H11A	1276	3665	15586	35
H11B	2968	3468	15751	35
H11C	3419	3685	15793	35
H12A	983	3486	15817	39
H12B	-8696	3637	15288	39
H12C	844	3328	15871	39
H13A	-1195	4278	16688	44
H13B	334	4669	16947	44
H13C	-1315	4454	16459	44
H14A	2368	4898	16788	47
H14B	3461	4652	16595	49
H14C	6917	4617	16527	49
H41A	598	3637	15787	69
H42A	368	3363	15879	68
H42B	-1128	3668	16155	68
H42C	315	3392	15914	68

HOLA	2403	2815	14932	176
HOLB	2286	2349	14172	176
HOLC	1720	2296	14812	176
HOLA	583	2409	14814	78
HOLA	438	2712	16005	125
HOLB	-917	2492	16099	128
HOLC	983	2925	12960	122
HOLA	-2817	2528	14714	122
HOLB	-2580	2472	14388	122
HOLC	-2732	2628	14616	122
HOLA	773	4224	16180	51
HOLA	-911	3548	17082	180
HOLB	1229	3806	17440	180
HOLC	263	3241	17025	180
HOLA	1807	3487	16652	71
HOLB	2732	3818	16432	71
HOLC	2029	3811	15482	71
HOLA	-1518	4302	16051	46
HOLA	-2843	2718	14820	89
HOLB	-2873	2926	12614	89
HOLC	-2811	3382	12706	89
HOLA	-917	4271	12718	78
HOLB	-2621	4247	12248	78
HOLC	-4718	4247	14441	78

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## BIOGRAPHICAL SKETCH

Gary Kenneth Winkler was born in Clarksville, Tennessee, on December 22, 1959 to Gary and Judy Winkler. He lived in Clarksville, Tennessee throughout his formative years. Gary graduated from Clarksville High School in Clarksville, Tennessee during the spring of 1980. In the fall of that year he decided to attend Austin Peay State University where he initiated studies in chemistry and math. Under the guidance of Dr. Len Blain he sought to pursue a graduate degree in chemistry. Graduating from Austin Peay in December of 1982 with a B. S. in chemistry, Gary chose to pursue his graduate degree at the University of Florida. At the University of Florida Gary joined the research group of Dr. David Richardson, where he earned his Ph. D. in Inorganic Chemistry. Upon graduation Gary will pursue a career as an organic chemist at Ciba Research and Development in New Castle, Delaware.



I certify that I have read this study and that in my opinion it conforms to acceptable standards of scholarly presentation and is fully adequate, in scope and quality, as a dissertation for the degree of Doctor of Philosophy

  
David E. Kirkwood, Chair  
Professor of Chemistry

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James M. Boice  
Professor of Chemistry

I certify that I have read this study and that in my opinion it conforms to acceptable standards of scholarly presentation and is fully adequate, in scope and quality, as a dissertation for the degree of Doctor of Philosophy

  
G. J. Pinski  
Professor of Chemistry

I certify that I have read this study and that in my opinion it conforms to acceptable standards of scholarly presentation and is fully adequate, in scope and quality, as a dissertation for the degree of Doctor of Philosophy

  
Kirk S. Schaefer  
Professor of Chemistry

I certify that I have read this study and that in my opinion it conforms to acceptable standards of scholarly presentation and is fully adequate, in scope and quality, as a dissertation for the degree of Doctor of Philosophy

  
Robert M. Pegg, Jr.  
Professor of Health and Safety  
Education

This dissertation was submitted to the Graduate Faculty of the Department of Chemistry in the College of Liberal Arts and Sciences and to the Graduate School and was accepted as partial fulfillment of the requirements for the degree of Doctor of Philosophy

May, 2000

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Dean, Graduate School